Large N Master Field Optimization for Multi-Matrix Systems

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J.P. Rodrigues (NITheCS and MITP) [Y-M coupled matrices](#page-76-0) Mandesltam VI Talk 2024 1/30

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Plan of the talk

- **O** Why Matrices ?
- **2** Why large Matrices ?
- **3** Invariant (loop) equations
- **4** Collective field theory
- **6** Constraints
- **•** The Hamiltonian of two massless Y-M coupled matrices
- ⁷ Planar quantities
- **8** Spectrum
- **9** Mass gaps and all that ...
- ¹ Summary and outlook

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Intermediate vector bosons (W^+,W^-,Z^0) and gluons are matrix valued gauge fields:

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[A_{\mu}(x)]_{ab}
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a, b = 1, 2 - SU(2) - weak interactions
a, b = 1, 2, 3 - SU(3) - strong interactions

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$$

• QCD cousin: $\mathcal{N} = 4$ super Yang-Mills theory ($i = 1, ..., 6$ matrix scalars)

$$
\mathcal{L} = -\frac{1}{4} \text{Tr} \, F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} D_{\mu} X_{i} D^{\mu} X_{i} + \frac{g_{YM}^{2}}{4} [X_{i}, X_{j}]^{2} + \dots
$$

J.P. Rodrigues (NITheCS and MITP) **Y-M** coupled matrices Mandesltam VI Talk 2024 3/30

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Why Large Matrices

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Example: GS energy $E = N^{2-2g} f(\lambda)$. QCD string ?

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• Gauge theories \rightarrow gauge invariance \rightarrow restrict to gauge invariant states

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- Wilson loops

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\phi(C) = \text{Tr}\left(P e^{i \oint_C A_\mu dx^\mu}\right), \ \ \phi(C, x_1, x_2) = \bar{\psi}(x_1) P e^{i \int_{x_1}^{x_2} A_\mu dx^\mu} \psi(x_2)
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- Large N factorization of gauge invariant operators (loops from now on):
	- $<\phi(C_1)\phi(C_2) >_{N\to\infty} = <\phi(C_1)><\phi(C_2)>+1/N^2...$

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• Migdal-Makeenko equations [1979] (Schwinger-Dyson equations). On the lattice [Kazakov and Zheng, 2022]:

The Secrets

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(Path) integral or quantum mechanics of finite number of Matrices reduced models

- Compactified gauge theories QCD motivated
	- \bullet [Luscher, 1982-1984] Y-M theory on a torus
	- Eguchi and Kawai, 1982] Loop equations from $\mu = 1, ..., 4$ unitary matrices
	- [Bhanot, Heller and Neuberger, 1982] Quenched unitary matrices
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	- [Kitazawa and Wadia, 1983] Large N quenched prescription Hamiltonian
- D− branes [Polchinski, 1995]
	- [Banks, Fischler, Shenker, Susskind, 1997] SS QM of 9 hermitian matrices (D0's) M-theory!
	- [Ishibashi, Kawai, Kitazawa, Tsuchiya, 1997] 10d SYM
	- [Maldacena, Gubser, Klebanov, Polyakov, Witten, 1998-1999] AdS/CFT
	- [Berenstein, Maldacena, Nastase, 2002] Scalars of $\mathcal{N} = 4$ SYM
	- Itzhaki, Maldacena, ... 1 Black holes and matrix QM

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Constraints in loop space

Can one study matrix systems directly in the large N limit? Loop space is highly non-trivial and difficult to parametrize (more later). And is subject to positivity constraints!

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- Recently re-discovered [Anderson and Kruczenski, 2017]
- Consider set of open Wilson lines C_l , $l = 1, ..., L$ from x_1 to x_2 , and U^l the corresponding product of unitary matrices along the curves. For an arbitrary set of coefficients c_l , define $A = \sum_1^L c_l U^l$. Since $\text{Tr} A^{\dagger} A \geq 0$ for any c_l , one must have

$$
\rho_{ll'} = \frac{1}{NL} < \mathrm{Tr}\left[(U^l)^{\dagger} U^{l'} \right] > \succeq 0
$$

Semi-definite programming can then be used. Wording bootstrap is associated with existence of constraints and parameter "scanning".

Recent interest [H. Lin, 2020; Han, Hartnoll Krutho, 2020; Kazakov and Z. Zheng 2022; Koch, Jevicki, Liu, Mathaba, Rodrigues, 2022]

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Collective Field Hamiltonian

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Collective Field Hamiltonian

- Our approach is based on the collective field theory Hamiltonian of Jevicki and Sakita [1980].
- This Hamiltonian is an exact re-writing of a theory in terms of its gauge invariant variables. The large N (planar) background is then obtained semiclassically as the minimum of an effective potential V_{eff} and, when expanded about this large N background, the collective field theory Hamiltonian generates 1/N corrections systematically.

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- The idea is to implement a change of variables from the original variables of the theory, generically denoted by X_A , to the invariant set of operators (the collective fields) $\phi(C)$, and to require explicit hermiticity of the collective field Hamiltonian. This change of variable is accompanied by a Jacobian J . In general J is not known explicitly, but it satisfies the following equation

$$
\sum_{C'} \frac{\partial \ln J}{\partial \phi^{\dagger}(C')} \Omega(C', C) = w(C) - \sum_{C'} \frac{\partial \Omega(C', C)}{\partial \phi^{\dagger}(C')}.
$$

This is sufficient to obtain explicitly the collective field Hamiltonian in terms of $\phi(C)$ and its canonical conjugate $\pi(C)$.

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Collective Field Hamiltonian and constraints

• In general,

$$
\Omega(C, C') = \sum_{\mathcal{A}} \frac{\partial \phi^{\dagger}(C)}{\partial X_{\mathcal{A}}^{\dagger}} \frac{\partial \phi(C')}{\partial X_{\mathcal{A}}}, \quad w(C) = \sum_{\mathcal{A}} \frac{\partial^2 \phi(C)}{\partial X_{\mathcal{A}}^{\dagger} \partial X_{\mathcal{A}}}
$$

 $\Omega({\mathsf{C}}, {\mathsf{C}}')$ joins two loops into a sum of single loops, and ${\mathsf{w}}({\mathsf{C}})$ splits a given loop into a sum of two (in general smaller) loops.

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 \bullet The collective field Hamiltonian H_{col} is ideally suited to a numerical approach based on minimisation of the effective potential V_{eff} , in a truncated loop space $H_{\text{col}} \rightarrow H_{\text{col}}^{\text{trunc}}$. Already some time ago *[Jevicki, Karim, Rodrigues, Levine,1983, 1984]* this approach was successfully implemented for $2 + 1$ lattice gauge theories.

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- Systems of unitary matrices have a phase transition between a strong and weak phase, and it was then established that in the weak coupling phase the minimization has to be accompanied by a constraint:

 $\int \text{Minimize} \quad V_{\text{eff}}^{\text{trunc}},$ $\Omega(C, C') \succeq 0.$

In other words, the large N expectation values of the loop variables $\phi(C)$ must satisfy the constraint that the matrix $\Omega(C, C')$ is semi-positive definite, with a number of eigenvalues saturating to zero in the weak coupling regime. This was shown to also be the case when considering loop equations [Rodrigues, 1985] .

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 $A \equiv \mathbf{1} + \mathbf{1} \oplus \mathbf{1} + \math$

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Constraint and density of eigenvalues

This constraint is not difficult to understand: the large N limit of the single unitary matrix integral has a well known third order phase transition [Gross, Witten, 1980], described in terms of the density of its (phases of) eigenvalues $\rho(\theta)$ as:

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\begin{cases} \rho(\theta) = \frac{1}{2\pi} (1 + \frac{2}{\lambda} \cos \theta), & -\pi \le \theta \le \pi \quad \text{for } \lambda \ge 2, \\ \begin{cases} \rho(\theta) = \frac{2}{\pi \lambda} \cos \frac{\theta}{2} \sqrt{\frac{\lambda}{2} - \sin^2 \frac{\theta}{2}}, & |\theta| < 2 \sin^{-1} \frac{\lambda}{2} \\ \rho(\theta) = 0, & 2 \sin^{-1} \frac{\lambda}{2} \le |\theta| \le \pi \end{cases} \text{ for } \lambda \le 2. \end{cases}
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In the strong coupling regime, the density of eigenvalues is periodic with period 2π . For weak coupling, the density of eigenvalues develops finite support within the interval $[-\pi, \pi]$, and $\rho(\theta) = 0$ outside this finite support.

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 \bullet A similar phase transition is present in the large N limit of the quantum mechanics of single unitary matrix systems. Hermitian matrix systems are always in the weak phase, so ensuring that $\phi(x) = 0$ outside their finite support in order that the density of states remains non-negative is of paramount importance.

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- For a single hermitian $N \times N$ matrix M, with invariants $\phi_k = \text{Tr}(e^{-ikM})$, the density of eigenvalues is simply its Fourier transform.Then $\Omega(x, y) = \partial_x \partial_y (\phi(x) \delta(x - y))$, and $\Omega(x, y)$ is seen to have zero eigenvalues when the density matrix $\langle x|\hat{\phi}|y\rangle = \phi(x)\delta(x-y)$ has zero eigenvalues, or when $\phi(x) = 0$. For single matrix systems then, this constraint on Ω is easily related to the requirement that the density is non-negative.

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Density of eigenvalues - another look

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Quantum mechanics of two massless Y-M coupled matrices

Our system is then [Mathaba, Mulokwe, Rodrigues, 2306.00935 [hep-th]]

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\hat{H} = \frac{1}{2} \sum_{A=1}^{2} \text{Tr} P_A^2 - \frac{g_{YM}^2}{N} \text{Tr}[X_1, X_2]^2 = \frac{1}{2} \sum_{A=1}^{2} \text{Tr} P_A^2 + \text{Tr}(V(X_A)).
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 \bullet The $U(N)$ invariant loops are single traces of products of the matrices X_A , up to cyclic permutations:

$$
\phi(C)={\rm Tr}(...X_1^{m_1}X_2^{m_2}X_1^{n_1}X_2^{n_2}...)\,.
$$

For instance, with two matrices one has $[11] = \text{Tr}(X_1^2)$, $[12] = \text{Tr}(X_1X_2)$, $[22] = \text{Tr}(X_2^2)$, with three matrices $[1\,1\,1] = \text{Tr}(X_{1}^{3})$, $[1\,1\,2] = \text{Tr}(X_{1}^{2}X_{2})$, $[1 2 2] = \text{Tr}(X_1 X_2^2), [2 2 2] = \text{Tr}(X_2^3),$ etc.

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We let $\phi(\mathsf{C}) \to \phi(\mathsf{C})/N^{\frac{l(\mathsf{C})}{2}+1}$ and then

$$
H_{col} = \frac{1}{2N^2} \sum_{C,C'} \pi^{\dagger}(C)\Omega(C,C')\pi(C') + N^2 V_{\text{eff}}(\phi)
$$

$$
V_{\text{eff}}(\phi) \equiv \frac{1}{8} \sum_{C,C'} w(C)\Omega^{-1}(C,C')w^{\dagger}(C') + V(\phi).
$$

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The large N background is then the minimum of $V_{\textit{eff}}$ subject to the constraint that $\Omega(\mathsf{C},\mathsf{C}')$ is semi-positive definite.

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V_{\text{eff}}^{\text{trunc}}(\phi(C), C = 1, ..., N_{\text{loops}}) = \frac{1}{8} \sum_{C,C'=1}^{N_{\Omega}} w(C) \Omega^{-1}(C, C') w^{\dagger}(C') + V(\phi)
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V_{\text{eff}}^{\text{trunc}}(\phi(C), C = 1, ..., N_{\text{loops}}) = \frac{1}{8} \sum_{C,C'=1}^{N_{\Omega}} w(C) \Omega^{-1}(C, C') w^{\dagger}(C') + V(\phi)
$$

e How is the constraint enforced?

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Master Variables

To minimize $V_{\textit{eff}}^{\textit{trunc}}$ subject to the constraint $\Omega(\mathcal{C},\mathcal{C}')\succeq 0$, we introduce master variables ϕ_{α} that explicitly satisfy the constraint:

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• Specifically, we choose X_1 to be diagonal and X_2 a $N \times N$ hermitian matrix. The master field then has $N^2 + N$ real components ϕ_{α} , $\alpha = 1, 2, ..., N(N + 1)$.

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• We have chosen a truncation with $l_{\rm max} = 14$, that is, 2615 $N_{\rm loops}$ and a 93 × 93 Ω matrix. For the master field, we took $N = 51$, corresponding to 2652 master variables.

Recall

$$
\hat{H} = \frac{1}{2} \sum_{A=1}^2 {\rm Tr} P_A^2 - \frac{g_{\gamma M}^2}{N} {\rm Tr}[X_1, X_2]^2 \,.
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 P_A is canonical conjugate to X_A , $A = 1, 2$.

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e=\Lambda_e\,g_{YM}^{2/3}\,,\quad {\rm Tr} X_1^2=\Lambda_{[11]}\,g_{YM}^{-2/3}\,,\quad {\rm Tr} X_1^4=\Lambda_{[1111]}\,g_{YM}^{-4/3}\,,\ \ {\rm etc.},
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Planar quantities - ground state energy

• Plot of large N ground state energies versus g_{YM} :

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We fit the data to the curve

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e_0/N^2 = A_0 g_{YM}^p\,,
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• This linear fit is shown below 1.5 • In e_0/N^2 data points - Linear fit 1.0 $ln e_0 / N^2$ 0.0 0.0 0.5 1.0 1.5 2.0 2.5 QQ In gym J.P. Rodrigues (NITheCS and MITP) [Y-M coupled matrices](#page-0-0) Mandesltam VI Talk 2024 16/30

Planar quantities

• The accuracy with which the interpolation matches the exact scaling $p = 2/3$ at this level of truncation is remarkable. We are then justified in setting $p = 2/3$ and fit the data to the scaling function

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Taking into account possible truncation dependent errors, the final scaling dependence on 't Hooft's coupling for the planar ground state energy of the massless system as:

$$
\frac{e_0}{N^2} = 0.8890(2) \lambda^{1/3}
$$

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Planar quadratic correlators

We consider the correlator $\text{Tr}(Z^{\dagger}Z)/N^2 = (\text{Tr}X_1^2 + \text{Tr}X_2^2)/N^2$, $(Z \equiv X_1 + iX_2)$ and do same analysis.

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- The results are presented in the table and figures below

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 \bullet The scaling power for the large N planar correlator is again predicted with a high level of accuracy, and their numerical values match with a high level of precision the scaling behaviour.

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Quartic correlators

For invariant loops with 4 matrices, we consider the loops $\text{Tr}(Z^\dagger Z Z^\dagger Z)/N^3$ and $tr(Z^{\dagger} Z^{\dagger} Z Z)/N^3$, and carry out the same analysis, summarized in table and figures below.

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Similar remarks concerning the high level of accuracy of the numerical results apply.

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Similar remarks concerning the high level of accuracy of the numerical results apply.

Finally, we consider an "angle" defined to be

$$
\mathcal{A} \equiv N \frac{\text{Tr} X_1^2 X_2^2 - \text{Tr} X_1 X_2 X_1 X_2}{\text{Tr} X_1^2 \text{Tr} X_2^2} = -\frac{N}{2} \frac{\text{Tr}[X_1, X_2]^2}{\text{Tr} X_1^2 \text{Tr} X_2^2}.
$$
\n
$$
\boxed{\mathcal{A} = 0.685(2)}
$$

and obtain

Spectrum

Master variables can be used to obtain the spectrum of the $O(N^0)=O(1)$ quadratic collective Hamiltonian [Koch, Jevicki, Liu, Mathaba, Rodrigues, 2022] (based on [Jevicki and Rodrigues, 1984]). The "mass matrix" is a $N_{\rm Loons} \times N_{\rm Loons}$ matrix, with $N_{\rm Loons} - N_{\Omega}$ unphysical zero modes.

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 \bullet Same analysis as before is carried out. Levels $3 - 15$ are shown in the table below

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Spectrum patterns

 (m) Linear fit of the log of $e_{3,4,5}$ versus In g_{YM} . e_{3,4} form a $l = \pm 2$ doublet, e₅ is $a = 0$ singlet

(o) Linear fit of the log of $e_{6,7,8,9}$ versus In g_{YM} . e_{6.7} form a $l = \pm 3$ doublet and $e_{8,9}$ a $l = \pm 1$ doublet

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More on Spectrum

• Further spectrum energies

(q) Linear fit of the log of e_{10} ,...,15 versus $\ln g_{YM}$. They form 3 $l = \pm 4, \pm 2, \pm 0$ doublets.

(r) Fit of the $n = 10, \ldots, 15$ masses to scaling function $\Lambda_{10,11,12,13,14,15}$ $g_{YM}^{2/3}$

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More on Spectrum

• Further spectrum energies

 \bullet For the lowest excited sates e_1 and e_2 , numerically, their masses do not increase with the coupling, and remain very small compared with the other massive excited states. These are the $U(N)$ traced fundamental single particle states $Tr X_1$ and $Tr X_2$, and we associate them with the non interacting (free) $U(1) \times U(1)$ subgroup of the Hamiltonian. Numerically, one should recall that the eigenvalues of the mass matrix include $N_{\text{loops}} - N_{\Omega}$ unphysical zero eigenvalues, so these modes will mix with physical zero modes if present in the system.

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- In order to confirm numerically that, indeed, our interpretation that e_1 and e_2 are decoupled zero mass states, we "switch on" masses in the Hamiltonian and seek evidence that indeed e_1 and e_2 remain decoupled states with masses equal to their "bare" masses. This will also allow us to compare our results with the few planar result[s av](#page-60-0)[aila](#page-62-0)[b](#page-58-0)[le](#page-59-0) [i](#page-61-0)[n](#page-62-0) [th](#page-0-0)[e li](#page-76-0)[ter](#page-0-0)[atu](#page-76-0)[re.](#page-0-0) 290

Y-M coupled matrices with masses - planar quantities

Given that the leading large g_{YM} behaviour of the large N energy, that of the massless limit, has been established, we can obtain the next, mass dependent, power dependence on g_{YM} . The least squares fit result for the exponent is $-0.630(2)$, in other words $p = -2/3$ to a high degree of accuracy. Setting $p = -2/3$, we obtain at this truncation level:

$$
{\sf e}_0/N^2 = 0.8890(2)\,\lambda^{1/3} + 0.4518(1)\frac{m^2}{\lambda^{1/3}} + ...
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 \bullet The following table compares our large N planar results to those available in the literature.

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 \bullet One finds that the energies e_1 and e_2 remain constant and very close to the "bare" mass value $m = 2$. In the massless limit then, these states remain massless, confirming the interpretation provided in the previous slides.

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- For the next 3 states, we display the mass corrected large g_{YM} scaling function

Figure: Numerical results for the masses $e_{3,4,5}$ and fit to mass corrected scaling functions.

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3 massless matrices - preliminary results

We considered 9 values of 't Hooft's coupling $\lambda=e^{-4},e^{-3},...,e^{3},e^{4},$ and a truncation with $l_{\text{max}} = 10$, corresponding to 9503 N_{loops} and a 225 \times 225 Ω matrix. For the master field, we took $N = 69$, corresponding to $2N^2 + N = 9591$ master variables.

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 \bullet The accuracy of the planar $O(3)$ symmetry is illustrated below

Table: Discrete symmetries

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3 massless matrices - planar quantities and scaling

- As for the 2 matrix case, we fit the planar ground state energies to the curve ${\it e}_0/N^2=A_0\,\lambda^{\rho}$ by performing a least squares fit to the logarithmic plot, with result. We find $ln A_0 = 0.3131$, $p = 0.3333$.
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Similarly for $\text{Tr}X_1^2 + \text{Tr}X_2^2 + \text{Tr}X_3^2 = A_2 \lambda^p$, we find $\ln A_2 = 0.2753$, $p = -0.3333$. The linear fit is shown below:

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3 massless matrices - lowest bound states

As was the case for the 2 matrix system, the 3 the $U(N)$ traced fundamental single particle states remain massless. All the other bound states acquire masses scaling with the coupling constant as determined by its dimensions. It is of interest to consider the spectrum structure of the lowest lying bound states.

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- Lowest non-zero spectrum states at 3 different coupling values:

A clear pattern of degeneracies is evident, with a lowest mass singlet and a quintuplet (corresponding to a traceless symmetric matrix).

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Summary

- We studied the large N dynamics of two massless Yang-Mills coupled matrix quantum mechanics, by minimization of a loop truncated Jevicki-Sakita effective collective field Hamiltonian.
- The loop space constraints are handled by the use of master variables.
- The method is successfully applied directly in the massless limit for a range of values of the Yang-Mills coupling constant, and the scaling behaviour of different physical quantities derived from their dimensions are obtained with a high level of precision.
- We consider both planar properties of the theory, such as the large N ground state energy and multi-matrix correlator expectation values, and also the spectrum of the theory.
- \bullet For the spectrum, we establish that the $U(N)$ traced fundamental constituents remain massless and decoupled from other states, and that bound states develop well defined mass gaps, with the mass of the two degenerate lowest lying bound states being determined with a particularly high degree of accuracy.
- Similar preliminary 3 matrix results were presented.

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- More matrices
- Quenched eigenvalues and 3d physics?

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- **•** Supersymmetry
- More gravity properties?
- **•** Finite temperature, ...

Thank you !

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