

# Black holes in AdS/CFT 1

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# Black holes & quantum gravity

Black holes universally exhibit thermal behaviors:

- Black hole thermodynamics from event horizon  $S = \frac{A}{4G}$  ,  $T = \frac{(\text{surface gravity})}{2\pi}$  , .....
- This is so precise (e.g. Hawking radiation) that we believe it has a statistical origin.

As the history of physics proves, thermodynamics is a window to new physics.

- Black body to quantum physics
- Black holes to quantum gravity

Microscopically understanding the black hole thermodynamics is

- not just an application of string theory (or more broadly quantum gravity), but
- also a window to explore the quantum gravity physics in extreme conditions.

Today's topic: interplay of the two themes **quantum gravity & black holes**

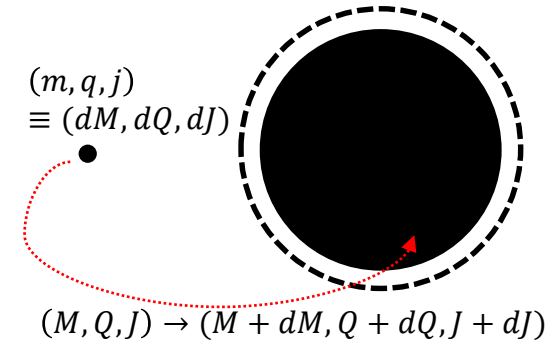
- Quantum gravity (say string theory) explains black hole physics.
- Black holes reflect/constrain/predict novel quantum gravity physics.

# No hair, missing information

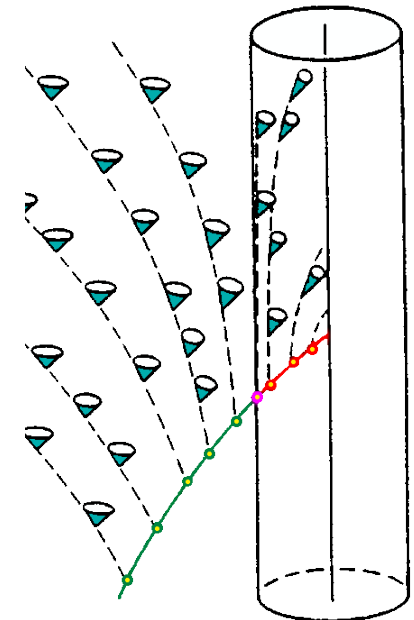
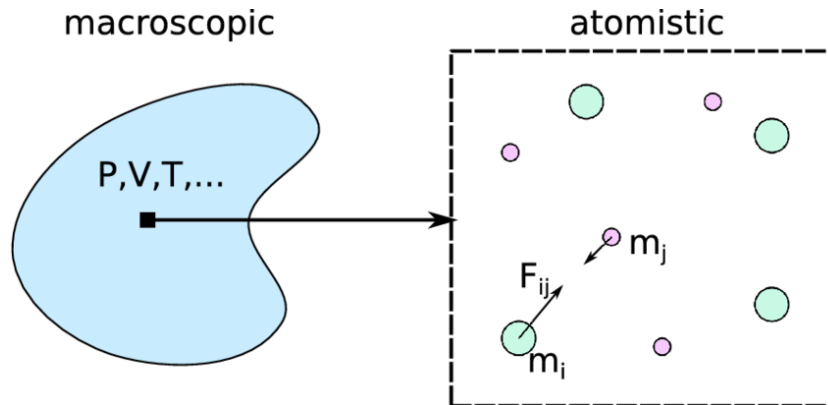
## “No hair theorem”

- Black holes (often) settle down to simple solutions labeled by conserved charges
- Mass ( $\sim$ energy), charge, angular momentum
- No information on matters which collapse to form black holes.

The “no hair” behavior, or missing information, is related to the existence of “event horizon”



A bit like macroscopic systems: Information is “hard” to access.



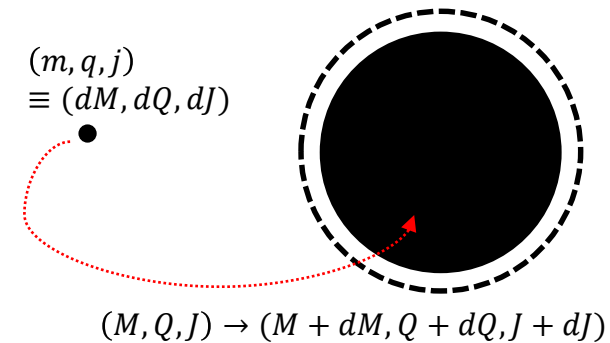
# Black hole thermodynamics

Surprisingly, the missing information of black holes induces “thermal behaviors”

- 1<sup>st</sup> law: Slowly perturb BHs: behaves as if it absorbs “heat”

$$dM = \frac{\kappa}{8\pi G} dA + \Omega dJ + \Phi dQ$$

$\kappa$ : surface gravity at the event horizon  
 $A$ : area of the even horizon



- 2<sup>nd</sup> law: obeys “area law” [Hawking] (1971)

$$\frac{dA}{dt} \geq 0$$

Hawking radiations strongly implies that this should be real thermodynamics.

$$\frac{c^2 \kappa}{8\pi G} dA = T dS \quad \rightarrow \quad S_{BH} = \frac{A c^3}{4G \hbar} \text{ Bekenstein-Hawking entropy, } T = \frac{\kappa \hbar}{2\pi c} \text{ Hawking temperature}$$

Further studies over the past ~50 years:

- Establishing that this thermodynamics is based on statistical mechanics.
- Exploring the implications of the novel thermodynamics of black holes.

# Entropy

Entropy is a very subtle notion in many ways.

- It is a quantum property: #(states) at given macroscopic data

$$S(E, V, \dots) = \log(\# \text{ of states at fixed } E, V, \dots)$$

- Measures “possibilities” rather than a definite phenomenon.
- Measures “ignorance”: Information unknown
- Good master function for thermodynamic observables, but otherwise an abstract quantity.

$$\frac{1}{T} = \frac{\partial S}{\partial E} \quad , \quad \text{heat} = \Delta Q = T\Delta S \quad , \quad \dots\dots$$

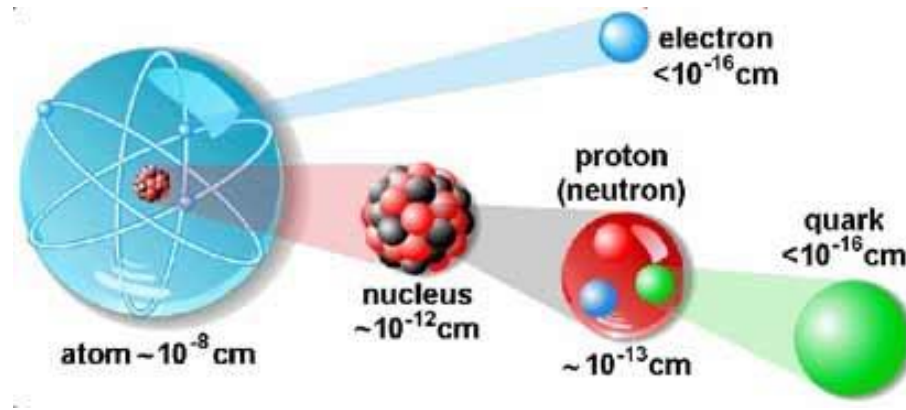
But it has been a key “theoretical observable” of studying quantum black holes.

- Statistical account from a quantum system which makes the black hole. [Strominger, Vafa]

# Bekenstein bound of entropy

Sounds strange that the information on the matter (forming BH) is definitely given.

- We rarely see short-distance information by looking at big bodies, especially in the particle physics/quantum field theory which usually have UV cutoffs.



Entropy has an upper bound:  $S \leq \frac{2\pi k_B R E}{\hbar c}$ . (E: energy, R: “size” of body) [Bekenstein] (1973,1981)

- The black hole entropy  $S_{BH}$  saturates this bound.
- May thus be regarded as the **most fundamental, fine-grained, information** of Nature.

$S_{BH}$  is an exceptional channel to see the fundamental information of Nature.

- What are the matters made of, at the most elementary level?

# Exotic entropy of black holes

Have negative specific heat  $c < 0$ : Lose energy and become hotter.

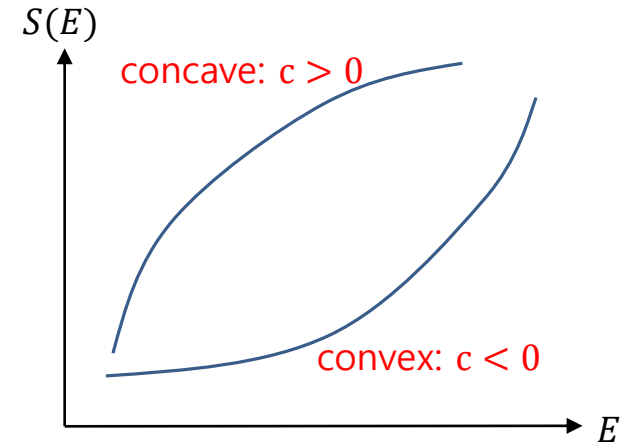
- E.g., for Schwarzschild black holes,

$$D = 3 + 1: \quad T_H = \frac{\hbar c^3}{8\pi G M k_B} \approx 6.169 \times 10^{-8} \text{ K} \times \frac{M_\odot}{M}$$

$$D \geq 3 + 1: \quad GM \sim r^{D-3}, \quad S \sim A/G \sim r^{D-2}/G$$

$$\rightarrow S(M) \sim G^{\frac{1}{D-3}} M^{\frac{D-2}{D-3}} \rightarrow \frac{dS(E)}{dE} = \frac{1}{T} \sim M^{1/(D-3)}$$

- Why? Entropy grows too fast:  $\frac{dS}{dE} > 0$  and  $\frac{d^2S}{dE^2} > 0$ .



Of course,  $\exists$  systems w/  $c < 0$ : due to interactions in special situations: E.g.

- Collapsing stars: release  $E$  but get hotter by virial theorem ( $K \propto |V|$ )
- Plasma-balls near phase transition [Aharony, Minwalla, Wiseman] (2005)

If  $S_{BH}$  reflects fundamental asymptotic d.o.f., perhaps there are simpler explanations:

- Demands unusual structures of the Hilbert space.
- Very **fast growing entropy** in the high energy regime ( $\sim$  black hole mass).

# Exotic entropy of quantum gravity

Fast-growing entropy at high E is unfamiliar in standard particle physics.

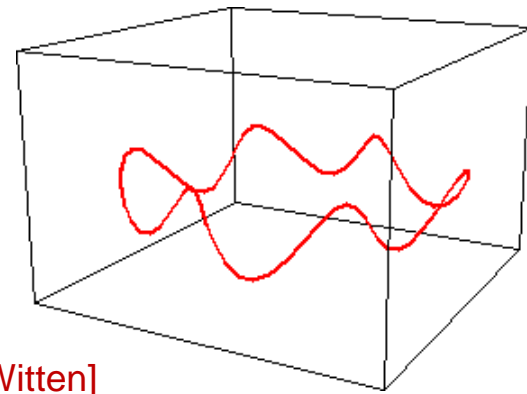
- Relativistic particles in  $D$  space dimension at high E ( $\leftarrow$  almost massless particle):
- On dimensional grounds ( $c = 1, \hbar = 1$ ), one finds

$$S \propto VT^D, \quad E \propto VT^{D+1} \quad \rightarrow \quad S(E, V) \propto V^{\frac{1}{D+1}} E^{\frac{D}{D+1}}$$

- $S \propto E^\alpha$  with  $\alpha = \frac{D}{D+1} < 1$  is not too fast:  $d^2S/dE^2 \sim \alpha(\alpha - 1)E^{\alpha-2} < 0$

However, familiar in quantum gravity  $\sim$  string theory

- Elementary strings:  $S(E) \sim E/T_H$  at high E
- Due to  $\infty$  tower of string oscillation modes:
- We call this “Hagedorn growth” [Hagedorn] (1965) [Sundborg] [Atick, Witten]  
(Originally found in the context of hadron physics)



However, this perturbative entropy doesn't grow fast enough:  $S_{BH} \propto M^{\frac{D-2}{D-3}} \gg M$  .

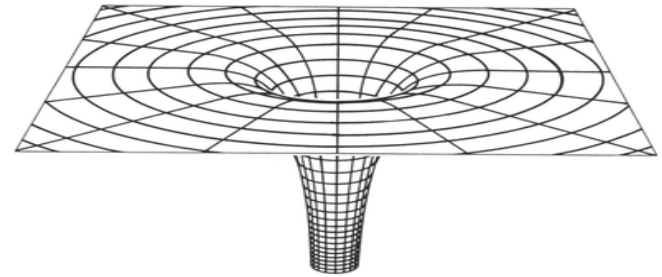
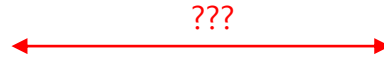
- Non-perturbative degrees of freedom should play roles.



# Statistical entropy of extremal black holes

To form such black holes, non-perturbative objects like “D-branes” are needed.

- New bound states of D-branes [Polchinski] (1995) & open strings → faster growth.



Statistical mechanics of D-brane QM or QFT

Accounts for the gravitational entropy?

Statistical interpretation of  $S_{BH}$  justified w/ extremal “BPS” black holes:

- Carry electric charge & saturate the bound  $M \geq \# Q$ .
- $D = 5$ :  $S = 2\pi Q^{3/2}$  [Strominger, Vafa] (1996) ...
- $D = 4$ :  $S = 2\pi Q^2$  [Maldacena, Strominger, Witten] [Vafa] (1997) ...

Since  $Q$  is roughly  $M$ , qualitatively the same growth as Schwarzschild BH:

- $S_{BH} \propto M^{(D-2)/(D-3)}$

# Black hole entropy & quantum gravity

The fast growth of  $S_{BH}$  is related to the fundamental structure of the Hilbert space of quantum gravity, as least in string theory models.

- Historically, introducing so many extra d.o.f. to Einstein's relativity as strings was to avoid very technical inconsistencies of quantum gravity (UV divergences).
- Now we may also understand it naturally as a constraint from black hole thermodynamics.

It will be interesting to review how other quantum gravity models explain black hole thermodynamics, related to the number of fundamental d.o.f. they have.

- And also, if the picture/scenario I presented has interesting caveats.

For instance,

- Higher spin gravity, loop quantum gravity, asymptotically free quantum gravity [Weinberg] ...

Currently I don't have good enough insights to answer these questions.

# Cannot be true...

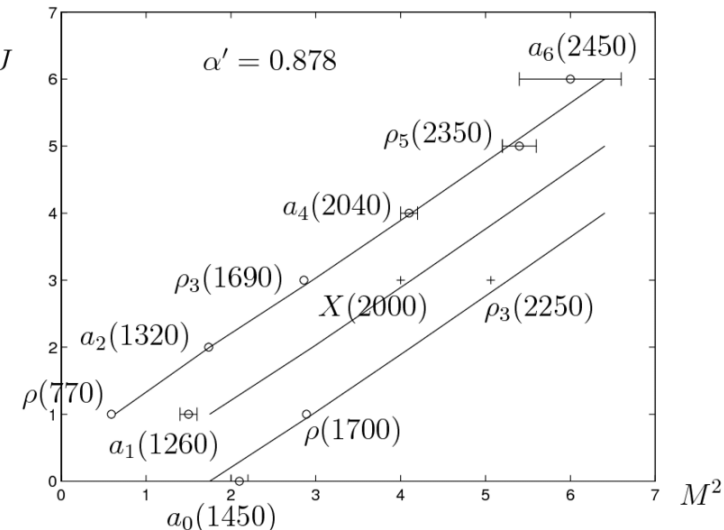
Systems with  $c < 0$  are thermodynamically unstable in canonical ensemble.

- This might be an exotic feature of quantum gravity, but sounds somewhat weird.
- It is also possible that quantum gravity at high T/E is fundamentally reformulated.

Analogue in QCD: tower of **hadrons** vs. **quark-gluon**  $J$

→ QCD at high T is in “quark-gluon plasma phase”

- Tempting to speculate that QG states that we just saw from black holes originate from more fundamental (& fewer) d.o.f. visible at even higher E.



One more general subtlety of gravity at fixed T.

- Needs “finite volume” or IR regulators. (Extensive quantities  $\propto$  “volume”)
- Should put gravity in a box consistently, subject to the equivalence principle.

The questions raised on this page can also be answered by studying black holes.

- I’ll present a model of gravity in a “box” and better phrase these questions.

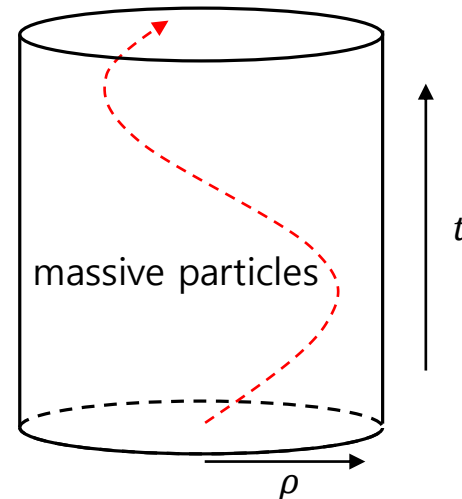
# Anti de Sitter (AdS)

A consistent setup for the “box” or “trap”

- Put gravity in AdS: [Hawking, Page] (1983)

$$ds_{D+1}^2 = d\rho^2 - \cosh^2 \frac{\rho}{\ell} dt^2 + \ell^2 \sinh^2 \frac{\rho}{\ell} ds^2(S^{D-1})$$

- Technically, the above metric is for the “global AdS” spacetime.
- Confines to  $\rho = 0$ :  $\Phi \approx -g_{tt}(\rho)/2$ . Massive particles (also black holes) cannot escape.
- Renders many thermal questions of QG well defined.



Incidentally, we know a microscopic description of such quantum gravity.

- AdS/CFT correspondence [Maldacena] (1997) .....
- QFT at the boundary  $S^{D-1} \times R$  “holographically” describes the QG inside.
- In simple examples, SU(N) gauge theories at  $N \gg 1$  : large number of “gluons”

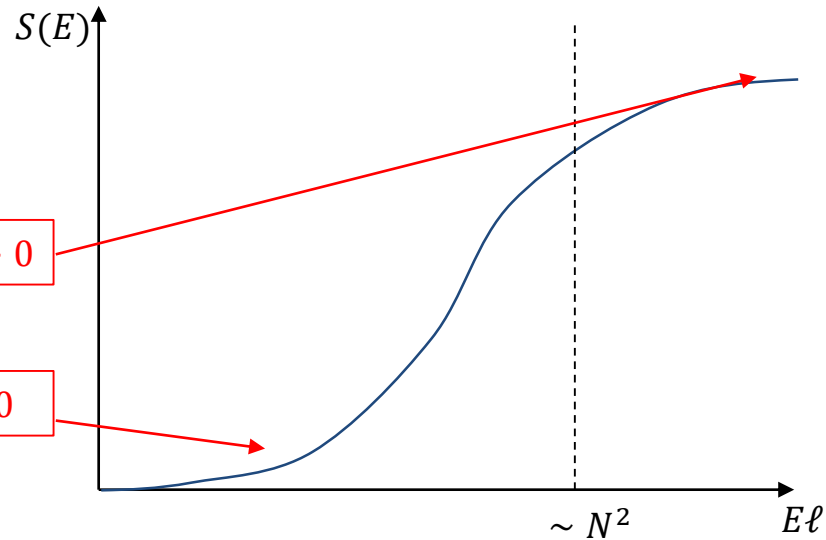
# Black holes in AdS

Schwarzschild black holes in  $\text{AdS}_{d(=5)}$ :

[Hawking, Page] (1983)

$R_{Sch} \gg \ell$ : concave,  $c > 0$

$R_{Sch} \ll \ell$ : convex,  $c < 0$



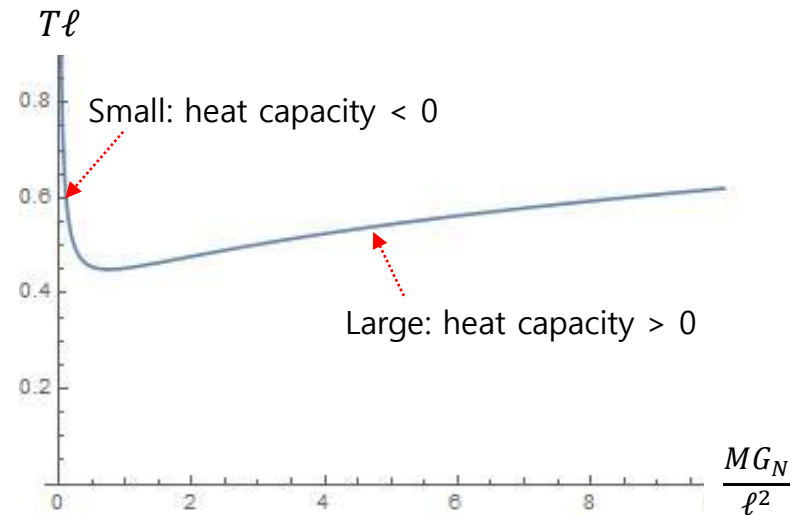
T-E curve:  $T = \frac{r_+}{\pi\ell^2} + \frac{1}{2\pi r_+}$

$$r_+^2 = -\frac{\ell^2}{2} + \ell\sqrt{\frac{\ell^2}{4} + \omega M} \quad \omega \equiv \frac{16\pi G_N}{3\text{vol}(S^3)}$$

Features:

- Small BH: Like familiar BH's in the sky.  
But exotic thermodynamics.
- Large BH: Novel black holes filling the box.  
But ordinary thermodynamics.

Determines the phase of the system.



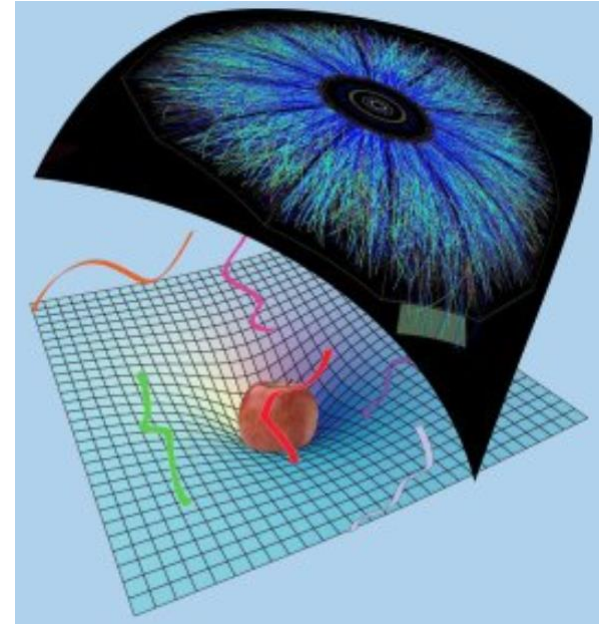
# Phase transition & black holes

Quarks/gluons in different phases. (like liquid/gas)

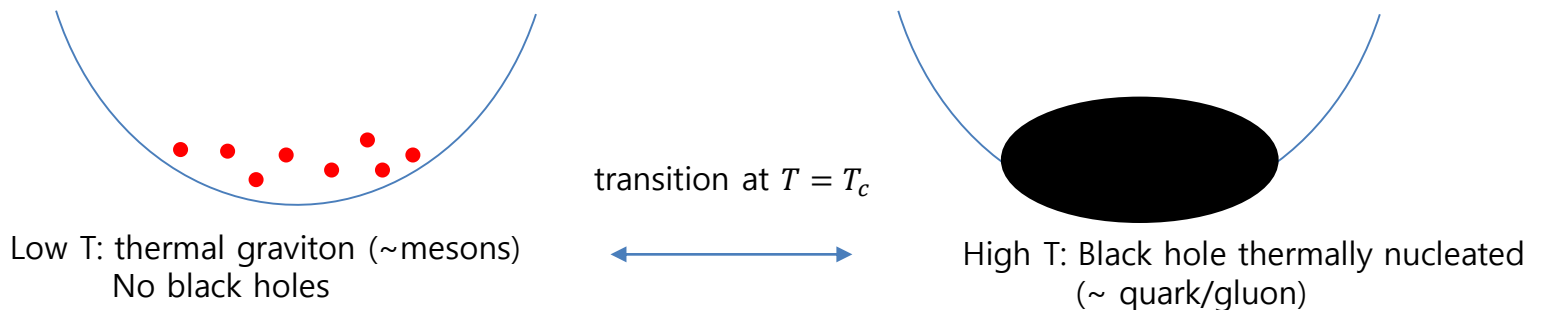
- low  $T$  : Confinement. Only see hadrons.  
(like protons  $\sim uud$ , mesons  $\sim \bar{u}d$ , ...)
- high  $T$ : Deconfinement. Liberated “quark-gluon plasma”

Phase transition at  $T_c \sim 1/\ell$ . (“radius of curvature”)

confinement-deconfinement phase transition



In AdS: Hawking-Page phase transition (1983)



Expect: Deconfined quarks/gluons are boundary images of black holes. [Witten] (1998)

## Next lecture

We have learned the followings:

- Asymptotically flat black holes exhibit exotic thermal behaviors which can naturally be explained by quantum gravity w/ large number of high E d.o.f. like string theory
- Putting the quantum gravity in a box (or AdS), we are able to explore a more exotic phase of gravity at high T from black holes.

Next lecture:

- Microscopic studies of the above physics from AdS/CFT
- Both large & small black hole physics
- Will face a universal technical difficulty of having to deal with strongly-coupled QFT.
- Will setup (what I think is) a rather sharp analogue problem in supersymmetric models to enable quantitative calculations and explore these physics.