Finite N black hole cohomologies

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Talk based on various collaborations with

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"The shape of non-graviton operators for $SU(2)$ " "Towards quantum black hole microstates" "Finite N black hole cohomologies"

arXiv:2209.12696. 2), 3), 6) $arXiv.2304.10155$, $2)$, $3)$, $5)$, $6)$ $arXiv: 2312.16443$ ^{1), 2), 4), 5)}

See also

Introduction

General question: Better understand black hole microstates.

 $-S_{BH} = A/4G = \log(\text{\#states w/ given energy}, \text{charges})$

Counted it from the quantum systems of black holes. [Strominger, Vafa] (1996), …

Construct & better characterize the individual microstates?

Concrete question: Black hole microstates in AdS/CFT

- Provides a definition of quantum gravity (in principle)
- But requires strong coupling QFT calculations: hard in general
- BPS black holes: SUSY helps, but still hard to construct exact eigenstates.

I will explain a modest version of "constructing" BPS black hole microstates.

- 4d SU(N) maximal super-Yang-Mills: From classical (weak-coupling) cohomologies.
- Want to eventually study $SU(N \gg 1)$. \leftrightarrow Today I will report SU(2), SU(3), SU(4).
- Qualitative features of these states & roughly "compare" w/ black hole physics.

Maximal SYM & BPS operators

SU(N) MSYM on R^4 : fields in adjoint rep. (written in N=1 language)

3 chiral multiplets: ϕ_m , $\bar{\phi}^m$ and $\psi_{m\alpha}$, $\bar{\psi}^m_{\dot{\alpha}}$ (m = 1,2,3) vector multiplet: $A_{\mu} \sim A_{\alpha\dot{\beta}}$ and λ_{α} , $\bar{\lambda}_{\dot{\alpha}}$ $(\mu = 1, ..., 4)$ $(\alpha = \pm, \dot{\alpha} = \dot{\pm})$

- 32 supercharges: Q^i_α , $\bar{Q}_{i\dot{\alpha}}$ & $S^{\alpha}_i = (Q^i_\alpha)^\dagger$, $\bar{S}^{i\dot{\alpha}} = (\bar{Q}_{i\dot{\alpha}})^\dagger$ $(i = 1, ..., 4)$

- Operator-state map for CFT: {local operators on R^4 } \leftrightarrow {states on S³ x R}

Gauge-invariant local BPS operators: (at $x^{\mu} = 0$ on R^4)

- Pick $Q \equiv Q_{-}^4$, $S \equiv S_4^- = Q^+$: invariant operators $[Q, O(0)] = [Q^{\dagger}, O(0)] = 0$.
- Free $(g_{YM} \rightarrow 0)$: Trivially constructed with invariant fields under Q, Q^{\dagger} : $\bar{\phi}^m$, ψ_{m+} , $\bar{\lambda}_{\dot{\alpha}}$, $f_{++} \equiv F_{1+i2,3+i4}$ & derivatives $\partial_{1+i2} \equiv \partial_1 - i \partial_2$, $\partial_{3+i4} \equiv \partial_3 - i \partial_4$ acting on them

 \rightarrow Too many states: more than BH entropy & more than low E gravitons.

Many of them acquire anomalous dimensions when $g_{YM} \neq 0$: At $g_{YM} \ll 1$, $Q\;\bar{\phi}^m=0$, $Q\psi_{m+}\sim g_{YM}\epsilon_{mnp}[\bar{\phi}^n,\bar{\phi}^p]$, $Qf_{++}\sim g_{YM}\sum_m\;[\psi_{m+}$, $\bar{\phi}^m]$, $Q\;\bar{\lambda}_{\dot{\alpha}}=0$, $[Q,D_{+\dot{\alpha}}]\sim g_{YM}[\;\bar{\lambda}_{\dot{\alpha}}$, $\;\}$ $Q & Q^{\dagger} \sim O(g_{YM}^1) \rightarrow Q Q^{\dagger} + Q^{\dagger} Q \sim E - E_{BPS} \ge 0$ at 1-loop, $O(g_{YM}^2)$.

The cohomology problem

Cohomology problem

- The supercharges are nilpotent: $Q^2 = 0$, $(Q^{\dagger})^2 = 0$
- The equation

$$
[Q, O(0)] = [Q^{\dagger}, O(0)] = 0 \leftrightarrow [QQ^{\dagger} + Q^{\dagger}Q, O(0)] = 0
$$

for the BPS states is formally like harmonic form equation (where $\it{Q}\sim d$ and $\it{Q}^\dagger\sim d^\dagger)$

- 1-to-1 map of the spectrum: harmonic forms $\leftrightarrow Q$ -cohomology class. (Local operator $O(0)$ satisfying $O(O(0) = 0$, with equivalence $O \sim O + Q\Lambda$.)

1-loop BPS spectrum ↔ **classical Q-cohomology class**

Weak-coupling (1-loop) vs. strong-coupling BPS spectrum?

- Originally, assumed that the BPS spectrum does not jump. [Minwalla] (2006)
- Perturbative non-renormalization argued (w/ certain assumptions). [Chang, Lin] (2022)
- Index counts these cohomologies & captures black holes. [Cabo Bizet, Cassani, Martelli, Murthy] [Choi, J. Kim, SK, Nahmgoong] [Benini, Milan] (2018) \rightarrow At least some of them protected.

Gravitons vs. black holes

Two different types of cohomologies:

- "gravitons" vs. "all the rest" (could possibly be "black holes")
- Gravitons in practice (well-defined even at finite N):
	- 1) Construct single-trace (~single-particle) cohomologies:
		- \rightarrow Chiral primaries $\text{tr}[\bar{\phi}^{(m_1} \cdots \bar{\phi}^{m_n)}]$ & their superconformal descendants in PSU(1,2|3)
	- 2) Construct multi-trace (~multi-particle) cohomologies by multiplying them.

"Gravitons at finite N" (including N=2,3,4, …)

- $\#$ (states) reduces, due to trace relations: E.g. for SU(2), $2tr(X^4) = [tr(X^2)]^2$
	- \leftrightarrow "stringy exclusion principle" [Maldacena, Strominger] (1998) due to giant gravitons
- This definition reflects all spectral aspects (that I know) of finite-N-corrected supergravitons.

With "all the rest":

- Hopefully wish to study "quantum" black holes in "quantum" gravity (even at N=2,3,4).
- Newton constant, controlling the quantumness, $G_N \sim (\text{radius of AdS})^3/N^2$

The problem & strategies

The problem at finite N:

Grade operators with a charge, like energy. Or in our studies,

 $i \equiv 6(R + I) = 2(R_1 + R_2 + R_3) + 3(I_1 + I_2) > 0.$

- At fixed *j*, construct all "Q-closed", remove "Q-exact" & remove gravitons: ∃remainders?
- Increase *i* & repeat: Very painful procedure. Done till $j \le 25$ for SU(2). [Chang, Lin] (2022)

Streamlined studies [Choi, Eunwoo Lee, Siyul Lee, SK, Park] (2023) :

- Compute the index of finite N gravitons.
- Subtract it from the full index to get the index of the rest, to detect where they exist.
- E.g. the full index $Z(t) = Tr[(-1)^F t^j]$ and $Z_{grav}(t)$ for SU(2) theory:

 $Z(t) = 1 + 6t^4 - 6t^5 - 7t^6 + 18t^7 + 6t^8 - 36t^9 + 6t^{10} + 84t^{11} - 80t^{12} - 132t^{13} + 309t^{14} - 18t^{15} - 567t^{16}$ $+516t^{17} + 613t^{18} - 1392t^{19} - 180t^{20} + 2884t^{21} - 1926t^{22} - 4242t^{23} + 7890t^{24} + 792t^{25} - 15876t^{26}$ $+13804t^{27} + 15177t^{28} - 37536t^{29} + 7049t^{30} + 57522t^{31} - 58704t^{32} + \cdots$ $Z_{grav}(t) = 1 + 6t^4 - 6t^5 - 7t^6 + 18t^7 + 6t^8 - 36t^9 + 6t^{10} + 84t^{11} - 80t^{12} - 132t^{13} + 309t^{14} - 18t^{15} - 567t^{16}$ $+516t^{17} + 613t^{18} - 1392t^{19} - 180t^{20} + 2884t^{21} - 1926t^{22} - 4242t^{23} + 7891t^{24} + 786t^{25} - 15864t^{26}$ $+13804t^{27} + 15138t^{28} - 37476t^{29} + 7048t^{30} + 57414t^{31} - 58566t^{32} + \cdots$

 $Z - Z_{\text{grav}} = -t^{24} + 6t^{25} - 12t^{26} + 0t^{27} + 39t^{28} - 60t^{29} + t^{30} + 108t^{31} - 138t^{32} + \cdots$

The BMN sector

Calculation within the streamlined strategy is still cumbersome.

- In classical cohomology, ∃consistent truncation of 4d QFT to 1d: BMN matrix model [Berenstein, Maldacena, Nastase] (2002) [Nakwoo Kim, Klose, Plefka] (2003) (Originally found as a D0-brane theory on a pp-wave backfound)
- The truncation allows us to exclude derivatives & gauginos: $D_{+\dot{\alpha}}$, $\bar{\lambda}_{\dot{\alpha}}$
- Q-algebra closes w/ remaining fields: $Q\bar{\phi}^m=0$, $Q\psi_{m+}\sim\epsilon_{mnp}[\bar{\phi}^n,\bar{\phi}^p]$, $Qf_{++}\sim[\psi_{m+},\bar{\phi}^m]$

Truncation still exhibits black hole like entropy growth (if the charge is not too large).

- "Small black hole" regime $j/N^2 \ll 1$: $S_{BMN} = \pi/9\sqrt{j^3/2N^2} < S_{BH} = \pi\sqrt{j^3/27N^2}$
- "Large black hole" regime $j/N^2 \gg 1$: much smaller entropy (derivatives discarded).

SU(2) studied generally beyond BMN, while SU(3) & SU(4) only in BMN sector.

In this talk, for concise presentation, I will mostly focus on the BMN sector.

SU(2)

The graviton-depleted BMN index is surprisingly simple for SU(2):

Representatives of the cohomologies accounting for $-t^{24} - t^{36} - t^{48} - t^{60} - \cdots$

$$
O_n = (f \cdot f)^n \epsilon^{c_1 c_2 c_3} (\phi^a \cdot \psi_{c_1}) (\phi^b \cdot \psi_{c_2}) (\psi_a \cdot \psi_b \times \psi_{c_3})
$$

+
$$
n(f \cdot f)^{n-1} \epsilon^{b_1 b_2 b_3} \epsilon^{c_1 c_2 c_3} (f \cdot \psi_{b_1}) (\phi^a \cdot \psi_{c_1}) (\psi_{b_2} \cdot \psi_{c_2}) (\psi_a \cdot \psi_{b_3} \times \psi_{c_3})
$$

-
$$
\left(\frac{n}{72} + \frac{n(n-1)}{108}\right) (f \cdot f)^{n-1} \epsilon^{a_1 a_2 a_3} \epsilon^{b_1 b_2 b_3} \epsilon^{c_1 c_2 c_3} (\psi_{a_1} \cdot \psi_{b_1} \times \psi_{c_1}) (\psi_{a_2} \cdot \psi_{b_2} \times \psi_{c_2}) (\psi_{a_3} \cdot \psi_{b_3} \times \psi_{c_3})
$$

[Used 3d vector notation for SU(2) adjoints: $A \cdot B \sim \text{tr}(AB)$ and $A \times B \sim [A, B]$.]

- The threshold non-graviton at t^{24} order:

How did we find them?

- For $n = 0, 1$: "computer + guesses" to find "nice" representatives. Then analytic proofs.
- For $n \geq 2$: Carefully watching how $n = 1$ became Q-closed, could generalize to infinite tower.

Finite N "black holes" ?

Are they "black hole states" in the "most quantum" AdS/CFT?

- Beyond semiclassical regime, unclear to what extent they behave like black holes, if any.
- For instance, $S = \log 1 = 0$ at the threshold. Not like semi-classical black holes.
- Here, our attitude is rather phenomenological/observational.
- Just observe some novel spectral properties reminiscent of black holes.

A basic property of black holes: no-hair theorem.

- Stationary black holes don't want hairs outside horizon, but rather absorb them.

The theorem is often violated, especially in AdS (w/ certain matters, charge, spin…).

- Especially in interesting phases of holographic matters, like superconductor (for the modes dual to the order parameters). [Gubser] (2008) …
- However, still true that black holes don't want to be dressed by many (often most) of the surrounding matter modes.

A no-hair theorem?

To appreciate the last point at SU(2), recall the BMN index:

- Q satisfies Leibniz rule \rightarrow The product (BH) x (graviton) is another cohomology.
- 17 different species of graviton particles (single trace ops.) in SU(2) BMN sector.
- But "black hole operators" O_n abhor dressings by all but 3 gravitons: $\mathit{tr}(2\bar{\phi}^m f + \epsilon^{mnp}\psi_n\psi_p).$

$$
[Z - Z_{\text{grav}}]_{\text{BMN}} = \boxed{-\frac{t^{24}}{1 - t^{12}} \cdot \boxed{\frac{1}{(1 - t^8)^3}} \cdot (1 - t^2)^3}
$$

So there is in a sense "partial" no-hair phenomenon in this index.

This feature continues in the general SU(2) index, beyond BMN sector

- Checked till t^{40} order. Indeed the index indicates a partial no-hair behavior Conformal primaries of gravitons: 29 of 32 don't dress $O₀$ (at least invisible in the index). Conformal descendants: Index admits the possibilities of these graviton hairs

Possibility: All Q-exact (absent) when index does not see them.

 \leftarrow Checked explicitly for several product operators (next slide). 11

Checks: Q-exactness

$$
t^{28}: O_0(\bar{\phi}^{(m} \cdot \bar{\phi}^{n)}) = -\frac{1}{14}Q[20\epsilon^{rs(m}(\bar{\phi}^{n)} \cdot \psi_{p+})(\bar{\phi}^{p} \cdot \psi_{r+})(\bar{\phi}^{q} \cdot \psi_{q+})(f_{++} \cdot \psi_{s+})
$$

\n
$$
-20\epsilon^{prs}(\bar{\phi}^{(m} \cdot \psi_{p+})(\bar{\phi}^{n}) \cdot \psi_{r+})(\bar{\phi}^{q} \cdot \psi_{q+})(f_{++} \cdot \psi_{s+})
$$

\n
$$
+30\epsilon^{prs}(\bar{\phi}^{(m} \cdot \psi_{p+})(\bar{\phi}^{n}) \cdot \psi_{r+})(\bar{\phi}^{q} \cdot \psi_{s+})(f_{++} \cdot \psi_{q+})
$$

\n
$$
-7\epsilon^{a_1 a_2 p} \epsilon^{b_1 b_2(m}(\bar{\phi}^{n)} \cdot \psi_{p+})(\bar{\phi}^{q} \cdot \psi_{q+})(\psi_{a_1+} \cdot \psi_{a_2+})(\psi_{b_1+} \cdot \psi_{b_2+})
$$

\n
$$
+18\epsilon^{a_1 a_2 p} \epsilon^{b_1 b_2(m}(\bar{\phi}^{n)} \cdot \psi_{q+})(\bar{\phi}^{q} \cdot \psi_{p+})(\psi_{a_1+} \cdot \psi_{a_2+})(\psi_{b_1+} \cdot \psi_{b_2+})]
$$

$$
t^{29}:\qquad O_0(\bar{\phi}^m \cdot \bar{\lambda}_{\dot{\alpha}}) = \frac{1}{8} Q[40\epsilon^{mnp}(f_{++} \cdot \psi_{q+})(\bar{\lambda}_{\dot{\alpha}} \cdot \psi_{r+})(\bar{\phi}^q \cdot \psi_{n+})(\bar{\phi}^r \cdot \psi_{p+})
$$

$$
-4\epsilon^{ma_1a_2}\epsilon^{nb_1b_2}(\bar{\lambda}_{\dot{\alpha}} \cdot \psi_{n+})(\bar{\phi}^p \cdot \psi_{p+})(\psi_{a_1+} \cdot \psi_{a_2+})(\psi_{b_1+} \cdot \psi_{b_2+})
$$

$$
+6\epsilon^{ma_1a_2}\epsilon^{nb_1b_2}(\bar{\lambda}_{\dot{\alpha}} \cdot \psi_{p+})(\bar{\phi}^p \cdot \psi_{n+})(\psi_{a_1+} \cdot \psi_{a_2+})(\psi_{b_1+} \cdot \psi_{b_2+})
$$

$$
+ \epsilon^{na_1a_2}\epsilon^{pb_1b_2}(\bar{\lambda}_{\dot{\alpha}} \cdot \psi_{n+})(\bar{\phi}^m \cdot \psi_{p+})(\psi_{a_1+} \cdot \psi_{a_2+})(\psi_{b_1+} \cdot \psi_{b_2+})]
$$

$$
t^{30} \n\cdot \n\begin{aligned}\n& O_0\left(\bar{\phi}^m \cdot \psi_{n+} - \frac{1}{3} \delta_n^m \bar{\phi}^p \cdot \psi_{p+}\right) \\
&= \frac{1}{4} Q \left[\epsilon_{npq} \epsilon^{ra_1 a_2} \epsilon^{qb_1 b_2} \epsilon^{mc_1 c_2} (\bar{\phi}^p \cdot \psi_{r+}) (\psi_{a_1 +} \cdot \psi_{a_2 +}) (\psi_{b_1 +} \cdot \psi_{b_2 +}) (\psi_{c_1 +} \cdot \psi_{c_2 +}) \right]\n\end{aligned}
$$

They lift to non-BPS operators: Non-BPS/near-BPS hairs may exist. [Bhattacharyya, Minwalla, Papadodimas] [Markeviciute, Santos]

$SU(\infty)$ & black hole hairs

 $N = \infty$ from BPS black hole solutions in $AdS_5 \times S^5$.

- For simplicity, set $R_1 = R_2 = R_3 \equiv R$, $I_1 = I_2 \equiv I$. [Gutowski, Reall] (2004)
- 1-parameter solution exists: the "size" parameter q & charges $R(q)$, $I(q)$.

Scalar hair: Φ dual to operator $tr(X^2 + Y^2 + Z^2)$ (& its conformal descendants)

- SU(2) QFT implied no-hair for this operator in QFT (in s-wave)
- $\sim N = \infty$: Can we turn on small hair, $\Phi(x) \sim \varepsilon \ll 1$?
- Linearized BPS solutions in the black hole background: (x: radius & θ , ϕ , ψ : 3-sphere)

$$
\Phi(x,\theta,\phi,\psi) = \varepsilon x^{\frac{m-2q/\ell^2}{1+3q/\ell^2}} \left(1 + \frac{3q}{\ell^2} + \frac{x}{\ell^2}\right)^{-\frac{1+m+q/\ell^2}{1+3q/\ell^2}} (\cos \frac{\theta}{2} e^{i\phi_1})^{m_1} (\sin \frac{\theta}{2} e^{i\phi_2})^{m_2}
$$

$$
m_1 + m_2 = 2m \quad m_1, m_2 = 0, 1, 2, \cdots
$$

- Singular at horizon $x = 0$ for $m < 2q/l^2$. (Includes "s-wave" \leftrightarrow conformal primary at $m = 0$.)
- Regular hair for highly-spinning descendants: similar between $N = \infty$ & $N = 2$.

SU(3) index

- Many graviton hairs absent.
- Unlike SU(2), many nontrivial towers of primaries. Mostly R-symmetry towers.
	- ↔ Relation to the giant graviton expansion? [Imamura] [Gaiotto, Lee] [Murthy] [Lee]
- Would these towers last forever or disappear beyond certain charges?

SU(3) threshold cohomology

The threshold cohomology at $j = 24$:

$$
288v^{(j}{}_{a}v^{k)a}{}_{i} \times \epsilon_{c_{1c2}(j}\text{tr}\left(\bar{\phi}^{c_{1}}\bar{\phi}^{c_{2}}\bar{\phi}^{i}\psi_{k+1}\right) - 72v^{a}{}_{b}v^{bk}{}_{a} \times \epsilon_{c_{1c2}(k}\text{tr}\left(\bar{\phi}^{c_{1}}\bar{\phi}^{c_{2}}\bar{\phi}^{d}\psi_{d+1}\right) + 36\epsilon_{a_{1a2}(i}u^{a_{1}k}v^{a_{2}}{}_{j}) \times \left[2\text{tr}\left(\phi^{(i}\phi^{c}\phi^{j)}\psi_{(c}\psi_{k)}\right) + 2\text{tr}\left(\phi^{(i}|\phi^{c}\phi^{j)}\psi_{(c}\psi_{k})\right)\right] - 9\epsilon_{a_{1a2}j}u^{a_{1}b}v^{a_{2}}{}_{b} \times \left[2\text{tr}\left(\phi^{(j}\phi^{c}\phi^{d)}\psi_{(c}\phi^{c)}\psi_{k}\right) - 6\text{tr}\left(\phi^{(j}\phi^{j}\phi^{d}\psi_{(c}\phi^{c}\psi_{k})\right)\right] - 20u^{a(i}v^{j)}{}_{a} \times \epsilon_{a_{1a2a3}}\left[2\text{tr}\left(\psi_{(i}\psi_{j)}\phi^{a_{1}}\phi^{a_{2}}\phi^{a_{3}}\right) + \text{tr}\left(\psi_{(i}\phi^{a_{1}}\psi_{j)}\phi^{a_{2}}\phi^{a_{3}}\right)\right] - 36u^{a(i}v^{j)}{}_{a} \times \epsilon_{a_{1a2a}}\left[2\text{tr}\left(\psi_{(i}\psi_{j)}\phi^{a_{1}}\phi^{a_{2}}\phi^{a_{3}}\right) + \text{tr}\left(\psi_{(i}\phi^{a_{1}}\psi_{j)}\phi^{a_{2}}\phi^{a_{3}}\right)\right] - 36u^{a(i}v^{j)}{}_{a} \times \epsilon_{a_{1a2}(i}\left[\text{tr}\left(\psi_{j}\psi_{j}\phi^{a_{1}}\phi^{a_{2}}\phi^{a_{3}}\right) + \text{tr}\left(\psi_{j}\psi_{a_{3}}\phi^{a_{1}}\phi^{a_{3}}\phi^{a_{2}}\right) + \text{tr}\left(\psi_{j}\psi_{a_{3}}\phi^{a_{3}}\phi^{a
$$

There is a long story on how we found this, combining

- Novel "ansatz" to construct operators which become Q-closed only after applying trace relations (so that it does not fall into graviton type)
- A numerics-assisted test of (non-)Q-exactness, applying all possible trace relations
- See [Jaehyeok Choi, Sunjin Choi, SK, Jehyun Lee, Siyul Lee] (2023)

Conclusion

I explained a kind of "construction" program of individual BH microstates.

- Some weak-coupling cohomologies constructed for SU(2), SU(3).
- Higher $SU(N)$? Higher charges? SU(4) non-graviton index in BMN sector

 $Z - Z_{grav} = -[2,0]t^{28} + [1,1]t^{30} + \cdots$

Thresholds for $j = 6(R + J) = 24, 24, 28, \dots$ for $N = 2, 3, 4, \dots \rightarrow$ Thresholds grows in N.

More physics?

- Information theoretic difference between graviton/black hole states? [Budzik, Murali, Vieira]
- We saw a hint of partial "no-hair theorem" already. Continues to higher N?

When can we reach large N? Need more computational breakthroughs.

- But important signals (like no-hair, towers) may be observed at reasonable N.
- More "approximation" techniques at large N? "BPS-ness" is an exact property so that $1/N$ corrections ignored might affect the conclusion.
- One can still study "approximate" BPS states. They may also shed lights on the "near-BPS" states, recently studied through JT gravity. [Boruch, Heydeman, Iliesu, Turiaci] (2022)