

# Free particles from Brauer algebras in complex matrix models

David Turton

QMUL

Joburg, 30 April 2010

Based on arXiv:0911.4408  
with Yusuke Kimura and Sanjaye Ramgoolam

# Motivations

- 1 Half-BPS sector of  $\mathcal{N} = 4$  super Yang-Mills: holomorphic,  $U(N)$  singlet sector of a free  $N \times N$  complex matrix model.
- 2 Description in terms of  $N$  free fermions - eigenvalues

Corley, Jevicki, Ramgoolam

# Motivations

- 1 Half-BPS sector of  $\mathcal{N} = 4$  super Yang-Mills: holomorphic,  $U(N)$  singlet sector of a free  $N \times N$  complex matrix model.
- 2 Description in terms of  $N$  free fermions - eigenvalues
- 3 Supergravity dual geometries of half-BPS operators involve free fermion phase space

Corley, Jevicki, Ramgoolam

Lin, Lunin, Maldacena

# Motivations

- 1 Half-BPS sector of  $\mathcal{N} = 4$  super Yang-Mills: holomorphic,  $U(N)$  singlet sector of a free  $N \times N$  complex matrix model.
- 2 Description in terms of  $N$  free fermions - eigenvalues  
Corley, Jevicki, Ramgoolam
- 3 Supergravity dual geometries of half-BPS operators involve free fermion phase space  
Lin, Lunin, Maldacena
- 4 Supergravity geometries admit coarse graining - possible lessons for black hole physics  
Balasubramanian, de Boer, Jejjala, Simon

# Motivations

- 1 Half-BPS sector of  $\mathcal{N} = 4$  super Yang-Mills: holomorphic,  $U(N)$  singlet sector of a free  $N \times N$  complex matrix model.
- 2 Description in terms of  $N$  free fermions - eigenvalues  
Corley, Jevicki, Ramgoolam
- 3 Supergravity dual geometries of half-BPS operators involve free fermion phase space  
Lin, Lunin, Maldacena
- 4 Supergravity geometries admit coarse graining - possible lessons for black hole physics  
Balasubramanian, de Boer, Jejjala, Simon
- 5 Free particle descriptions in other sectors? Non-holomorphic sectors?

# Overview

- 1 Review of free particles in matrix models and AdS/CFT
- 2 Introduction to Brauer algebra basis
- 3 Emergence of free particles in complex matrix models
- 4 Counting and stringy exclusion principle
- 5 Open Questions

# Free particles in unitary matrix quantum mechanics

Consider the free Unitary matrix quantum mechanics with Hamiltonian

$$H = \text{tr} \left( U \frac{\partial}{\partial U} \right)^2$$

- $U(N)$  symmetry  $U \rightarrow gUg^\dagger$ ,  $g \in U(N)$  .
- An orthonormal basis of  $U(N)$  invariant wavefunctions is given by  $U(N)$  characters.

## Free particles in unitary matrix quantum mechanics

Consider the free Unitary matrix quantum mechanics with Hamiltonian

$$H = \text{tr} \left( U \frac{\partial}{\partial U} \right)^2$$

- $U(N)$  symmetry  $U \rightarrow gUg^\dagger$ ,  $g \in U(N)$ .
- An orthonormal basis of  $U(N)$  invariant wavefunctions is given by  $U(N)$  characters.

$U(N)$  representations built from tensor products of the fundamental are specified by a Young diagram  $R$  with  $c_1(R) \leq N$  and their characters are the Schur polynomials:

$$\chi_R(U) = \sum_{\sigma \in S_n} \chi_R(\sigma) U_{i_{\sigma_1}}^{i_1} \cdots U_{i_{\sigma_n}}^{i_n} .$$

The same is true for tensor copies of the antifundamental with  $U \leftrightarrow U^\dagger$ .

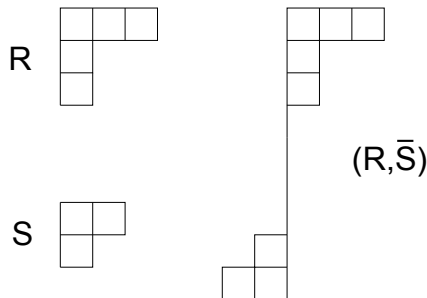


# Free particles in unitary matrix quantum mechanics

More general representations are specified by a *composite Young diagram*  $(R, \bar{S})$ , where

- $R$  controls the fundamental indices
- $S$  controls the antifundamental indices

For  $U(N)$  (and everywhere in this talk) a composite Young diagram has  $N$  rows so we require  $c_1(R) + c_1(S) \leq N$ :



# Free particles in unitary matrix quantum mechanics

Use symmetry  $U \rightarrow gUg^\dagger$  to diagonalise  $U$ :

$$U = gDg^\dagger, \quad D = \text{diag}(e^{i\theta_1}, \dots, e^{i\theta_N}), \quad g \in U(N).$$

This introduces jacobian  $\Delta(u) = \prod_{i < j} (e^{i\theta_i} - e^{i\theta_j})$ .

# Free particles in unitary matrix quantum mechanics

Use symmetry  $U \rightarrow gUg^\dagger$  to diagonalise  $U$ :

$$U = gDg^\dagger, \quad D = \text{diag}(e^{i\theta_1}, \dots, e^{i\theta_N}), \quad g \in U(N).$$

This introduces jacobian  $\Delta(u) = \prod_{i < j} (e^{i\theta_i} - e^{i\theta_j})$ .

The Hamiltonian becomes

$$H = - \sum_i \left[ \frac{1}{\tilde{\Delta}} \frac{d^2}{d\theta_i^2} \tilde{\Delta} \right] - \frac{1}{12} N(N^2 - 1) + \text{off-diag},$$

where

$$\tilde{\Delta} = \prod_{i < j} \sin \frac{\theta_i - \theta_j}{2} = \frac{\Delta(u)}{(\det U)^{\frac{N-1}{2}}}.$$

Douglas '93

# Free particles in unitary matrix quantum mechanics

Absorb  $\tilde{\Delta}$  into wavefunctions and Hamiltonian:

$$\psi_f = \tilde{\Delta}\psi, \quad H_f = \tilde{\Delta}H\frac{1}{\tilde{\Delta}} = \sum_i \frac{\partial}{\partial\theta_i^2} - \frac{1}{12}N(N^2 - 1)$$

Wavefunctions  $\psi_f$  antisymmetric under exchange of any pair  $\theta_i \leftrightarrow \theta_j$ .

# Free particles in unitary matrix quantum mechanics

Absorb  $\tilde{\Delta}$  into wavefunctions and Hamiltonian:

$$\psi_f = \tilde{\Delta}\psi, \quad H_f = \tilde{\Delta}H\frac{1}{\tilde{\Delta}} = \sum_i \frac{\partial}{\partial\theta_i^2} - \frac{1}{12}N(N^2 - 1)$$

Wavefunctions  $\psi_f$  antisymmetric under exchange of any pair  $\theta_i \leftrightarrow \theta_j$ .  
Singlet eigenfunctions are Slater determinants -  $N$  fermion wavefunctions,

$$\psi_{\vec{p}} = \det_{j,k} e^{i\theta_j p_k}$$

# Free particles in unitary matrix quantum mechanics

Absorb  $\tilde{\Delta}$  into wavefunctions and Hamiltonian:

$$\psi_f = \tilde{\Delta} \psi, \quad H_f = \tilde{\Delta} H \frac{1}{\tilde{\Delta}} = \sum_i \frac{\partial}{\partial \theta_i^2} - \frac{1}{12} N(N^2 - 1)$$

Wavefunctions  $\psi_f$  antisymmetric under exchange of any pair  $\theta_i \leftrightarrow \theta_j$ .  
Singlet eigenfunctions are Slater determinants -  $N$  fermion wavefunctions,

$$\psi_{\vec{p}} = \det_{j,k} e^{i\theta_j p_k}$$

which are related to Schur polynomials via

$$\Psi_{\vec{p}}^f = \Delta(u) \chi_R(U)$$

where if  $r_j$  are the rows of the Young diagram  $R$ ,

$$p_j = r_j + (n_F + 1 - j), \quad n_F = \frac{N - 1}{2}.$$

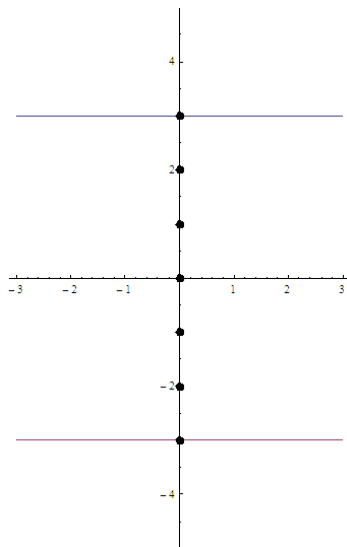
- This sector is thus equivalent to  $N$  free fermions on a circle.

# Fermions on a circle

- States of fermion on a circle:  
quantised momentum  $p \in \mathbb{Z}$
- Energy  $E = p^2$
- $N$  fermions: Fermi sea with two  
Fermi levels.

# Fermions on a circle

- States of fermion on a circle: quantised momentum  $p \in \mathbb{Z}$
- Energy  $E = p^2$
- $N$  fermions: Fermi sea with two Fermi levels.
- Excitations labelled by composite Young diagram  $(R, \bar{S})$ : length of row  $j$  is excitation energy of fermion  $j$
- Natural interpretation of  $c_1(R) + c_1(S) \leq N$ .





## Free particles in hermitian matrix models

Consider the Gaussian hermitian matrix quantum mechanics with Lagrangian

$$\mathcal{L} = \text{tr} \left( \frac{1}{2} \dot{\Phi}^2 - \frac{1}{2} \Phi^2 \right)$$

which is invariant under the global  $U(N)$  action

$$\Phi \rightarrow g \Phi g^\dagger, \quad g \in U(N).$$

## Free particles in hermitian matrix models

Consider the Gaussian hermitian matrix quantum mechanics with Lagrangian

$$\mathcal{L} = \text{tr} \left( \frac{1}{2} \dot{\Phi}^2 - \frac{1}{2} \Phi^2 \right)$$

which is invariant under the global  $U(N)$  action

$$\Phi \rightarrow g \Phi g^\dagger, \quad g \in U(N).$$

Decompose  $\Phi$  into diagonal and off-diagonal d.o.f.:

$$\Phi = U \Lambda U^\dagger, \quad \Lambda = \text{diag}(\lambda_1, \dots, \lambda_N), \quad U \in U(N).$$

The jacobian is  $\Delta = \prod_{i < j} (\lambda_i - \lambda_j)$  and the Hamiltonian becomes

$$H_\Lambda = \frac{1}{2} \sum_i \left( -\frac{1}{\Delta} \frac{\partial^2}{\partial \lambda_i^2} \Delta + \lambda_i^2 \right) + \text{off-diag},$$

# Free particles in hermitian matrix models

Absorb  $\Delta$  into wavefunctions and Hamiltonian:

$$\begin{aligned}\Psi^f(\lambda) &= \Delta \Psi(\lambda) \\ H^f &= \Delta H \frac{1}{\Delta} = \frac{1}{2} \sum_i \left( -\frac{d^2}{d\lambda_i^2} + \lambda_i^2 \right)\end{aligned}$$

# Free particles in hermitian matrix models

Absorb  $\Delta$  into wavefunctions and Hamiltonian:

$$\begin{aligned}\Psi^f(\lambda) &= \Delta \Psi(\lambda) \\ H^f &= \Delta H \frac{1}{\Delta} = \frac{1}{2} \sum_i \left( -\frac{d^2}{d\lambda_i^2} + \lambda_i^2 \right)\end{aligned}$$

Singlet eigenfunctions are Slater determinants -  $N$  fermion wavefunctions,

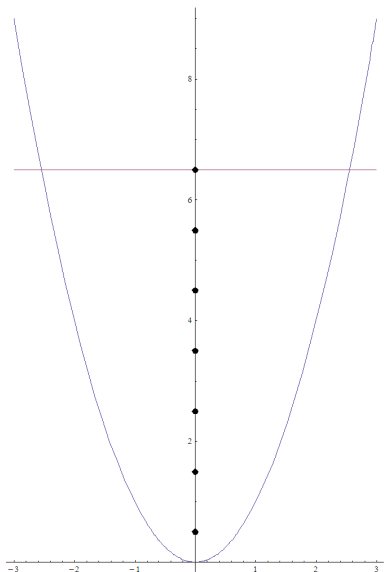
$$\Psi_{\vec{\mathcal{E}}}^f = \det_{i,j} \lambda_i^{\mathcal{E}_j} e^{-\frac{1}{2} \text{tr} \Phi^2}$$

which are related to Schur polynomials as in the UMM via

$$\Psi_{\vec{\mathcal{E}}}^f = \Delta \mathcal{O}_R(\Phi) e^{-\frac{1}{2} \text{tr} \Phi^2}, \quad \mathcal{E}_i = r_i + (N - i)$$

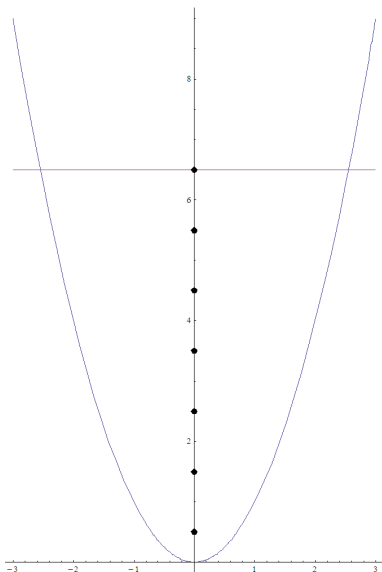
where  $r_i$  are the rows of the Young diagram  $R$ .

# Fermions in 1D SHO



- States of SHO :  $(n + \frac{1}{2})\hbar$
- Ground state of  $N$  fermion system:  
Fermi sea

# Fermions in 1D SHO



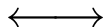
- States of SHO :  $(n + \frac{1}{2})\hbar$
- Ground state of  $N$  fermion system:  
Fermi sea

Excitations labelled by single Young diagram: length of row  $j$  is excitation energy of fermion  $j$

- Natural interpretation of  $c_1(R) \leq N$

# Free particles and AdS/CFT

$\mathcal{N} = 4$  SYM  
Gauge group  $U(N)$   
't Hooft coupling  
 $\lambda = g_{YM}^2 N$



IIB String Theory  
on  $AdS_5 \times S^5$   
Radius  $L$ ,  
 $F_5$  flux  $N$

Parameters:

$$\sqrt{\lambda} \longleftrightarrow \frac{L^2}{\alpha'}$$
$$\frac{\lambda}{N} \longleftrightarrow g_s$$

- Strong form of conjecture: equivalence for all  $\lambda$ ,  $N$ .
- This talk:  $\lambda = 0$ ,  $N$  finite.

# Field Content of $\mathcal{N} = 4$ SYM

- Gauge field, 4 Weyl fermions
- 3 Complex scalars  $X, Y, Z$
- All fields in adjoint of  $U(N)$ .

Restrict attention to one complex scalar - say  $Z$ .

Holomorphic polynomials in  $Z$  are  $\frac{1}{2}$ -BPS operators: they preserve half of the supersymmetries.

- Relevant part of the Lagrangian is:

$$\mathcal{L}_Z = \text{tr} \left( D_\mu Z^\dagger D^\mu Z \right)$$



# Spherical Harmonics and Dimensional Reduction

Consider  $\mathcal{N} = 4$  SYM on  $S^3 \times \mathbb{R}$ :

- $\frac{1}{2}$ -BPS states correspond to s-wave modes.
- Fields  $Z(t)$ ,  $A_0(t)$ .
- $A_0$  non-dynamical - imposes Gauss's Law (gauge invariance).

# Spherical Harmonics and Dimensional Reduction

Consider  $\mathcal{N} = 4$  SYM on  $S^3 \times \mathbb{R}$ :

- $\frac{1}{2}$ -BPS states correspond to s-wave modes.
- Fields  $Z(t)$ ,  $A_0(t)$ .
- $A_0$  non-dynamical - imposes Gauss's Law (gauge invariance).

Dimensionally reduced Lagrangian: extra term from conformal coupling to curvature of  $S_3$  - absorbing constants, this becomes

$$\mathcal{L} = \text{tr} \left( \dot{Z} \dot{Z}^\dagger - ZZ^\dagger \right)$$

→  $U(N)$  singlet sector of complex matrix quantum mechanics in a simple harmonic oscillator potential.

Hashimoto '00, Corley, Jevicki, Ramgoolam '01

## Schur polynomials (yet again)

The Schur polynomials generalised to a complex matrix,  $\mathcal{O}_R(\Phi)$  are polynomials of degree  $n$  labelled by a representation  $R$  of  $S_n$ , where the first column of  $R$  has length at most  $N$ :

$$\mathcal{O}_R(\Phi) = \sum_{\sigma \in S_n} \chi_R(\sigma) \Phi_{i_{\sigma_1}}^{i_1} \cdots \Phi_{i_{\sigma_n}}^{i_n}$$

In this basis the two-point function is diagonal:

$$\langle \mathcal{O}_R(\Phi)^\dagger \mathcal{O}_S(\Phi) \rangle = f_R \delta_{RS}$$

Corley, Jevicki, Ramgoolam '01

## Schur polynomials (yet again)

The Schur polynomials generalised to a complex matrix,  $\mathcal{O}_R(\Phi)$  are polynomials of degree  $n$  labelled by a representation  $R$  of  $S_n$ , where the first column of  $R$  has length at most  $N$ :

$$\mathcal{O}_R(\Phi) = \sum_{\sigma \in S_n} \chi_R(\sigma) \Phi_{i_{\sigma_1}}^{i_1} \cdots \Phi_{i_{\sigma_n}}^{i_n}$$

In this basis the two-point function is diagonal:

$$\langle \mathcal{O}_R(\Phi)^\dagger \mathcal{O}_S(\Phi) \rangle = f_R \delta_{RS}$$

Corley, Jevicki, Ramgoolam '01

At  $n = 2$  the Schur polynomials are

$$\begin{aligned} \mathcal{O}_{[2]}(\Phi) &= \frac{1}{2} (\text{tr } \Phi \text{ tr } \Phi + \text{tr } \Phi^2) \\ \mathcal{O}_{[1^2]}(\Phi) &= \frac{1}{2} (\text{tr } \Phi \text{ tr } \Phi - \text{tr } \Phi^2) \end{aligned}$$

where  $[2] = \square \square$  (symmetric),  $[1^2] = \begin{array}{|c|} \hline \square \\ \hline \square \\ \hline \end{array}$  (antisymmetric).

# Schur Triangularisation

$U(N)$  not sufficient to diagonalise  $Z$ ; use Schur Triangularisation:

$$Z = U T U^\dagger, \quad U \in U(N), \quad T \text{ upper triangular.}$$

# Schur Triangularisation

$U(N)$  not sufficient to diagonalise  $Z$ ; use Schur Triangularisation:

$$Z = UTU^\dagger, \quad U \in U(N), \quad T \text{ upper triangular.}$$

- $z_i$ : diagonal entries - eigenvalues of  $Z$
- $t_{jk}$ : off-diagonal entries for  $j < k$ .

Since

$$\text{tr } Z^p = \text{tr } T^p = \sum_i z_i^p$$

the **holomorphic** GIOs are symmetric polynomials in the  $z_i$ , related to Schur polynomials as for the Hermitian matrix model.

## Change of variables

Since the holomorphic GIOs are symmetric polynomials in the  $z_i$ , change variables

$$Z_{ij} \rightarrow \{z_i, t_{jk}, U\}$$

## Change of variables

Since the holomorphic GIOs are symmetric polynomials in the  $z_i$ , change variables

$$Z_{ij} \rightarrow \{z_i, t_{jk}, U\}$$

- Jacobian  $\Delta = \prod_{j < k} (z_j - z_k)$
- Absorb  $\Delta$  into wavefunctions - interpret the  $z_i$  as fermions.



## Change of variables

Since the holomorphic GIOs are symmetric polynomials in the  $z_i$ , change variables

$$Z_{ij} \rightarrow \{z_i, t_{jk}, U\}$$

- Jacobian  $\Delta = \prod_{j < k} (z_j - z_k)$
- Absorb  $\Delta$  into wavefunctions - interpret the  $z_i$  as fermions.
- Fermions  $z_i$  are complex - target space is a plane.
- Holomorphic dynamics is effectively one - dimensional.

Thus the holomorphic,  $U(N)$  singlet sector of the matrix SHO quantum mechanics is equivalent to a theory of  $N$  fermions in a 1D SHO potential.

# Fermion phase space and LLM

- SHO Fermions on  $\mathbb{R}$  have a 2D phase space plane
- Quantize : each fermion occupies area  $\hbar$
- System occupies area  $N\hbar$  of phase space

So phase space configurations are colourings of the plane into black/white regions.

## Fermion phase space and LLM

- SHO Fermions on  $\mathbb{R}$  have a 2D phase space plane
- Quantize : each fermion occupies area  $\hbar$
- System occupies area  $N\hbar$  of phase space

So phase space configurations are colourings of the plane into black/white regions.

$\frac{1}{2}$ -BPS solutions to IIB supergravity with  $SO(4) \times SO(4)$  isometry: (LLM)

- Coordinates  $t, y, x_1, x_2, S^3, \tilde{S}^3$
- Geometries determined by function  $u(x_1, x_2)$
- Smoothness condition :  $u(x_1, x_2) = 0$  or  $1$
- $x_1 - x_2$  plane identified with fermion phase space above.

Lin, Lunin, Maldacena '04

# Beyond the Holomorphic Sector

- So far:  $U(N)$  singlet, holomorphic sector of Complex Matrix Model
- Natural extension: relax holomorphic constraint
- GIOs now functions of  $Z, Z^\dagger$ , equivalently  $z_i, t_{jk}$  - this takes us beyond eigenvalues.

# Beyond the Holomorphic Sector

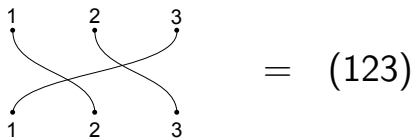
- So far:  $U(N)$  singlet, holomorphic sector of Complex Matrix Model
- Natural extension: relax holomorphic constraint
- GIOs now functions of  $Z, Z^\dagger$ , equivalently  $z_i, t_{jk}$  - this takes us beyond eigenvalues.

New features:

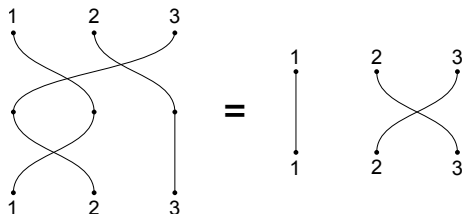
- 1 This sector is non-BPS - no non-renormalisation theorems.
- 2 However at zero coupling,  $Z, Z^\dagger$  sector remains a consistent truncation of  $\mathcal{N} = 4$  SYM
- 3 Is there a string dual of this sector at zero coupling?

# The symmetric group $S_n$ in diagrams

Symmetric group elements may be represented by diagrams:



Products are obtained by stacking diagrams: e.g.



represents the product  $(12)(123) = (23)$ .

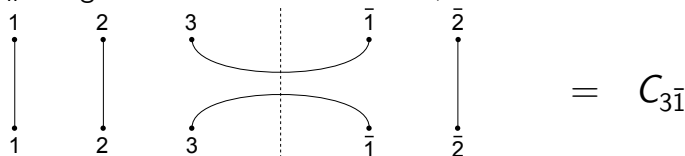
# The Brauer algebra

The (walled) Brauer algebra  $B_N(m, n)$  contains the group algebra of  $S_m \times S_n$  along with 'contraction' elements, which cross a wall:

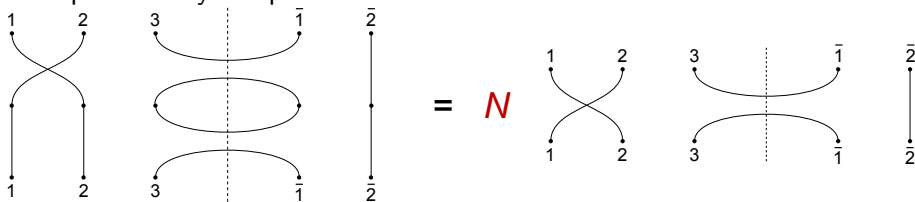
The diagram illustrates a contraction element in the Brauer algebra crossing a wall. On the left side of a vertical dashed line (the wall), there are two vertical strands labeled 1 and 2 at both the top and bottom. On the right side of the wall, there are two vertical strands labeled  $\bar{1}$  and  $\bar{2}$  at both the top and bottom. Two curved lines connect the top strand labeled 3 on the left to the top strand labeled  $\bar{1}$  on the right, and the bottom strand labeled 3 on the left to the bottom strand labeled  $\bar{1}$  on the right. These two strands cross each other and the wall. To the right of the diagram is the equation  $= C_{3\bar{1}}$ .

# The Brauer algebra

The (walled) Brauer algebra  $B_N(m, n)$  contains the group algebra of  $S_m \times S_n$  along with 'contraction' elements, which cross a wall:



along with the rule that in a product, a closed loop is replaced by multiplication by the parameter  $N$ :

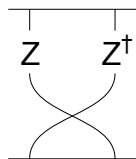


which represents the product  $C_{3\bar{1}}[(12)C_{3\bar{1}}] = N(12)C_{3\bar{1}}$ .



## Application to GIOs

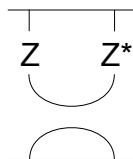
The index structure of  $\text{tr } ZZ^\dagger$  can be represented diagrammatically using  $Z$  and  $Z^\dagger$ , using a symmetric group element and a trace:



The diagram shows two vertical lines on the left labeled 'Z' and two vertical lines on the right labeled 'Z^\dagger'. The top ends of these lines are connected by a horizontal line. The bottom ends of the 'Z' lines are connected by a horizontal line. Two arcs connect the bottom of the 'Z' lines to the bottom of the 'Z^\dagger' lines, crossing each other in the middle, forming a symmetric group element. The entire diagram is enclosed in a rectangular frame.

$$= Z_j^i Z^\dagger{}^j{}_i = \text{tr } ZZ^\dagger$$

Alternatively,  $\text{tr } ZZ^\dagger$  can be represented with  $Z$  and  $Z^*$ , using a Brauer algebra contraction and a trace:

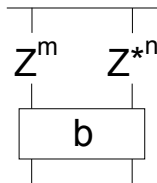


The diagram shows two vertical lines on the left labeled 'Z' and two vertical lines on the right labeled 'Z^\*'. The top ends of these lines are connected by a horizontal line. The bottom ends of the 'Z' lines are connected by a horizontal line. A single arc connects the bottom of the 'Z' lines to the bottom of the 'Z^\*' lines. The entire diagram is enclosed in a rectangular frame.

$$= Z_j^i Z^*{}^j{}_i = Z_j^i Z^\dagger{}^j{}_i = \text{tr } ZZ^\dagger$$

# Application to GIOs

More generally, any GIO may be written using  $Z, Z^*$  and a Brauer algebra element  $b$  as


$$= \text{tr}(b Z^m Z^{*n})$$

# Brauer basis of operators

The Brauer algebra can be used to build an orthogonal basis, as follows:

- The representations of the Brauer algebra are labelled by  $\gamma = (k, \gamma_+, \gamma_-)$  where
  - $k$  is an integer in the range  $0 \leq k \leq \min(m, n)$
  - $(\gamma_+, \gamma_-)$  have  $m - k$  and  $n - k$  boxes respectively and form a composite Young diagram;  $c_1(\gamma_+) + c_1(\gamma_-) \leq N$

# Brauer basis of operators

The Brauer algebra can be used to build an orthogonal basis, as follows:

- The representations of the Brauer algebra are labelled by  $\gamma = (k, \gamma_+, \gamma_-)$  where
  - $k$  is an integer in the range  $0 \leq k \leq \min(m, n)$
  - $(\gamma_+, \gamma_-)$  have  $m - k$  and  $n - k$  boxes respectively and form a composite Young diagram;  $c_1(\gamma_+) + c_1(\gamma_-) \leq N$
- A representation  $\gamma$  can be decomposed into irreps  $A = (\alpha, \beta)$  of the  $\mathbb{C}[S_m \times S_n]$  sub-algebra, where  $\alpha \vdash m, \beta \vdash n$ .

# Brauer basis of operators

The Brauer algebra can be used to build an orthogonal basis, as follows:

- The representations of the Brauer algebra are labelled by  $\gamma = (k, \gamma_+, \gamma_-)$  where
  - $k$  is an integer in the range  $0 \leq k \leq \min(m, n)$
  - $(\gamma_+, \gamma_-)$  have  $m - k$  and  $n - k$  boxes respectively and form a composite Young diagram;  $c_1(\gamma_+) + c_1(\gamma_-) \leq N$
- A representation  $\gamma$  can be decomposed into irreps  $A = (\alpha, \beta)$  of the  $\mathbb{C}[S_m \times S_n]$  sub-algebra, where  $\alpha \vdash m, \beta \vdash n$ .
- Let the irrep  $A$  appear with multiplicity  $M_A^\gamma$ , let  $i$  run over this multiplicity and let

$$|\gamma; A, m_A; i\rangle$$

be the state in the representation  $\gamma$  which transforms in the  $i$  th copy of the state  $m_A$  of the irrep  $A$  of the sub-algebra.

## Brauer basis of operators

The Brauer basis, formed of particular linear combinations of such traces, is a generalisation of the Schur Polynomials to  $Z, Z^\dagger$  operators,

$$\mathcal{O}_{\alpha\beta;ij}^\gamma(Z, Z^\dagger) = \text{tr} \left( Q_{\alpha\beta;ij}^\gamma \mathbf{Z}^m \mathbf{Z}^{*n} \right)$$

where

$$Q_{\alpha\beta;ij}^\gamma = |\gamma; A, m_A; i\rangle \langle \gamma; A, m_A; j| .$$

This basis diagonalises the two-point function.

Kimura, Ramgoolam '07

## Brauer basis of operators

The Brauer basis, formed of particular linear combinations of such traces, is a generalisation of the Schur Polynomials to  $Z$ ,  $Z^\dagger$  operators,

$$\mathcal{O}_{\alpha\beta;ij}^\gamma(Z, Z^\dagger) = \text{tr} \left( Q_{\alpha\beta;ij}^\gamma \mathbf{Z}^m \mathbf{Z}^{*n} \right)$$

where

$$Q_{\alpha\beta;ij}^\gamma = |\gamma; A, m_A; i\rangle \langle \gamma; A, m_A; j| .$$

This basis diagonalises the two-point function.

Kimura, Ramgoolam '07

For example, when  $m = 1$ ,  $n = 1$ , suppressing non-essential labels:

$$\mathcal{O}_{[1],[\bar{1}]}^{k=0} = \text{tr} Z \text{tr} Z^\dagger - \frac{1}{N} \text{tr}(ZZ^\dagger)$$

$$\mathcal{O}_{[1],[\bar{1}]}^{k=1} = \frac{1}{N} \text{tr}(ZZ^\dagger) .$$

- Note that the coefficients depend on  $N$ .

# The $k = 0$ sector

The  $k = 0$  operators are special:

- They do not require point-splitting regularisation
- In the  $k = 0$  sector  $\gamma = (0, \alpha, \beta)$  so operators are labelled simply by  $\alpha$  and  $\beta$ .
- To connect with the notation of the unitary matrix model, we write  $\alpha = R$  and  $\beta = S$ .



## The $k = 0$ sector

If  $S = \emptyset$ , then the  $k = 0$  operator is a holomorphic Schur polynomial:

$$\mathcal{O}_{R, \emptyset}^{k=0}(Z, Z^\dagger) = \chi_R(Z) .$$

If  $R = \emptyset$ , then the  $k = 0$  operator is an anti-holomorphic Schur polynomial:

$$\mathcal{O}_{\emptyset, \bar{S}}^{k=0}(Z, Z^\dagger) = \chi_S(Z^\dagger)$$

## The $k = 0$ sector

If  $S = \emptyset$ , then the  $k = 0$  operator is a holomorphic Schur polynomial:

$$\mathcal{O}_{R, \emptyset}^{k=0}(Z, Z^\dagger) = \chi_R(Z) .$$

If  $R = \emptyset$ , then the  $k = 0$  operator is an anti-holomorphic Schur polynomial:

$$\mathcal{O}_{\emptyset, \bar{S}}^{k=0}(Z, Z^\dagger) = \chi_S(Z^\dagger)$$

If both  $\alpha$  and  $\beta$  are nontrivial, the leading order term in the expansion of  $\mathcal{O}^{k=0}$  begins with the product of the holomorphic and antiholomorphic Schur polynomials:

$$\mathcal{O}_{R, \bar{S}}^{k=0}(Z, Z^\dagger) = \chi_R(Z)\chi_S(Z^\dagger) + \dots ,$$

where the dots denote terms with at least one  $ZZ^\dagger$  inside a trace.

## The $k = 0$ sector

The  $k = 0$  operators are the generalisations of the characters of the composite representations of unitary matrix model to a complex matrix - if we replace  $Z$  by a unitary matrix, we obtain:

$$\mathcal{O}_{R\bar{S}}^{k=0}(U, U^\dagger) = d_R d_S \chi_{R\bar{S}}(U) .$$

This gives an isomorphism between the  $k = 0$  sector and the states of the Unitary matrix model.

- Motivation to look for free fermions on a circle in  $k = 0$  sector.

# Free particles from Brauer Algebra

Strategy:

- Seek free particle physics in the Brauer basis at particular values of  $k$

Results:

- Free particle descriptions in two sectors:  $k = 0$  and  $k = m = n$
- $k = 0$  sector: Explicit expressions at  $N = 2$  for momenta of free fermions on a circle in terms of combinations of  $z_i$ ,  $t_{jk}$ , implicit generalisation to arbitrary  $N$
- $k = m = n$  sector: map to free fermions in harmonic oscillator of hermitian matrix model for arbitrary  $N$ .

see also Masuku & Rodrigues, 0911.2846

# Schur Triangularisation revisited

Let us examine more closely the Schur Decomposition,

$$Z = UTU^\dagger$$

where  $t_{ii} = z_i$  and  $t_{jk} = 0$  for  $j < k$ .

Residual symmetries:

- $S_N$  permutes eigenvalues  $z_i$  (& transforms  $t_{jk}$ )
- $U(1)^{N-1}$  acts on phases of the  $t_{jk}$ .

The parameter space of inequivalent adjoint  $U(N)$  orbits,  $\mathcal{M}_N$  can be obtained by fixing an ordering of  $z_i$  and setting  $t_{j,j+1} \in \mathbb{R}$ .

# Schur Triangularisation revisited

Let us examine more closely the Schur Decomposition,

$$Z = UTU^\dagger$$

where  $t_{ii} = z_i$  and  $t_{jk} = 0$  for  $j < k$ .

Residual symmetries:

- $S_N$  permutes eigenvalues  $z_i$  (& transforms  $t_{jk}$ )
- $U(1)^{N-1}$  acts on phases of the  $t_{jk}$ .

The parameter space of inequivalent adjoint  $U(N)$  orbits,  $\mathcal{M}_N$  can be obtained by fixing an ordering of  $z_i$  and setting  $t_{j,j+1} \in \mathbb{R}$ .

At  $N = 2$  setting  $t_0 \in \mathbb{R}$  we have

$$T = \begin{pmatrix} z_1 & t_0 \\ 0 & z_2 \end{pmatrix} .$$

## Differential Gauss's law

Recall the relevant part of the  $\mathcal{N} = 4$  SYM Lagrangian

$$\mathcal{L}_Z = \text{tr} \left( D_\mu Z^\dagger D^\mu Z \right) .$$

A convenient gauge choice is to set  $A_0 = 0$ . The e.o.m. for  $A_0$  leads to Gauss's Law:

$$Z^\dagger \dot{Z} + Z \dot{Z}^\dagger - \dot{Z} Z^\dagger - \dot{Z}^\dagger Z = 0 .$$

Upon canonical quantization this leads to the differential form of Gauss's Law,

$$G = G_1 + G_2 + G_3 + G_4 = 0$$

where  $G_i$  are defined as:

$$(G_1)^i_j = Z^\dagger{}^i_k \left( \frac{\partial}{\partial Z^\dagger} \right)^k_j$$

$$(G_2)^i_j = Z^i_k \left( \frac{\partial}{\partial Z} \right)^k_j$$

$$(G_3)^i_j = -Z^\dagger{}^k_j \left( \frac{\partial}{\partial Z^\dagger} \right)^i_k$$

$$(G_4)^i_j = -Z^k_j \left( \frac{\partial}{\partial Z} \right)^i_k$$

# Casimir Operators

Given generators  $\{e_i\}$  of a Lie algebra  $\mathcal{G}$ , with

$$[e_i, e_j] = c_{ij}^k e_k, \quad (*)$$

the algebra formed from linear combinations of products of the  $\{e_i\}$ , subject to  $(*)$ , is called the *universal enveloping algebra*  $\mathcal{G}^U$  of  $\mathcal{G}$ .

- Elements in the centre of  $\mathcal{G}^U$  are called *Casimir operators*.



# Casimir Operators

Given generators  $\{e_i\}$  of a Lie algebra  $\mathcal{G}$ , with

$$[e_i, e_j] = c_{ij}^k e_k, \quad (*)$$

the algebra formed from linear combinations of products of the  $\{e_i\}$ , subject to  $(*)$ , is called the *universal enveloping algebra*  $\mathcal{G}^U$  of  $\mathcal{G}$ .

- Elements in the centre of  $\mathcal{G}^U$  are called *Casimir operators*.

Given a representation of  $\mathcal{G}$ ,  $e_i \rightarrow \rho(e_i) = E_i$ , then given a Casimir operator  $c$ ,

$$C = \rho(c)$$

is called a *Casimir operator of the representation*  $\rho$ .

- By Schur's Lemma, Casimir operators of irreducible representations take constant values.

# Casimir Operators

The Gauss Law operators  $G_i$  each form a representation of  $U(N)$  on GIOs. There are thus associated Casimir operators: recalling the definitions

$$(G_2)^i_j = Z^i_k \left( \frac{\partial}{\partial Z} \right)^k_j, \quad (G_3)^i_j = -Z^{\dagger k}_j \left( \frac{\partial}{\partial Z^{\dagger}} \right)^i_k$$

and defining  $G_L = G_2 + G_3$ , one may define

$$\begin{aligned} H_1 &= \text{tr } G_2 & H_2 &= \text{tr } G_2^2 \\ \bar{H}_1 &= \text{tr } G_3 & \bar{H}_2 &= \text{tr } G_3^2 & H_L &= \text{tr } G_L^2 . \end{aligned}$$

- These can be thought of as Hamiltonians acting on GIOs.

## Casimirs and Young diagrams

Recall that a composite Young diagram  $R$  with arbitrary integer row lengths  $r_i$  labels momenta  $p_i$  of  $N$  free fermions on a circle given in terms of the Fermi energy  $n_F = \frac{N-1}{2}$  by

$$p_i = r_i + (n_F + 1 - i) .$$

Given a Young diagram  $R$ , the linear and quadratic Casimirs of the  $U(N)$  representation  $R$  are expressible in terms of  $r_i$  or  $p_i$ :

$$C_1(R) = \sum_i r_i = \sum_i p_i = n$$

$$C_2(R) = nN + \sum_i r_i(r_i - 2i + 1) = \sum_{i=1}^N p_i^2 - \frac{N}{12}(N^2 - 1)$$

# Casimir Operators

Acting on a Brauer basis operator  $\mathcal{O}_{\alpha\beta;ij}^\gamma(Z, Z^\dagger)$ ,

- $H_1, H_2$  measure  $C_1(\alpha), C_2(\alpha)$
- $\bar{H}_1, \bar{H}_2$  measure  $C_1(\beta), C_2(\beta)$
- $H_1 - \bar{H}_1$  measures  $C_1(\gamma), H_L$  measures  $C_2(\gamma)$  .

Generalized Casimir operators such as  $\text{tr}(G_2^2 G_3)$  are sensitive to the labels  $i, j$ .

Kimura, Ramgoolam '08

At  $N = 2$  the labels  $i, j$  are trivial and it suffices to consider linear and quadratic Casimirs.

## Free fermions in the $k = 0$ sector

At  $N = 2$ , a  $k = 0$  operator is determined by the composite Young diagram  $\gamma$  which has two integer rows  $r_1^\gamma, r_2^\gamma$ .

We shift the row lengths to obtain fermion momenta:

$$p_1 = r_1 + \frac{1}{2}, \quad p_2 = r_2 - \frac{1}{2}.$$

The linear and quadratic Casimirs at  $N = 2$  become

$$\begin{aligned} C_1 &= p_1 + p_2 \\ C_2 &= p_1^2 + p_2^2 - \frac{1}{2} \end{aligned}$$

which may be inverted to

$$\begin{aligned} p_1 &= \frac{C_1}{2} + \sqrt{\frac{C_2}{2} - \frac{C_1^2}{4} + \frac{1}{4}} \\ p_2 &= C_1 - p_1. \end{aligned}$$

## Free fermions in the $k = 0$ sector

We have identified differential operators which measure Casimirs, in particular:

- $H_1 - \bar{H}_1$  measures  $C_1(\gamma)$ ,  $H_L$  measures  $C_2(\gamma)$  .

## Free fermions in the $k = 0$ sector

We have identified differential operators which measure Casimirs, in particular:

- $H_1 - \bar{H}_1$  measures  $C_1(\gamma)$ ,  $H_L$  measures  $C_2(\gamma)$  .

We may thus write the fermion momenta as differential operators:

$$\begin{aligned}\hat{p}_1 &= \frac{H_1 - \bar{H}_1}{2} + \sqrt{\frac{H_L}{2} - \frac{(H_1 - \bar{H}_1)^2}{4} + \frac{1}{4}} \\ \hat{p}_2 &= H_1 - \bar{H}_1 - \hat{p}_1\end{aligned}$$

In terms of the matrix entries,  $H_1$ ,  $\bar{H}_1$ ,  $H_L$  are combinations of the eigenvalues  $z_i$  and off-diagonal entries  $t_{jk}$ ...

## Hamiltonians in terms of matrix elements

Introducing for convenience  $z_c = z_1 + z_2$ ,  $z = z_1 - z_2$  and

$$\begin{aligned} L_1 &= z_1 \frac{\partial}{\partial z_1} & \bar{L}_1 &= \bar{z}_1 \frac{\partial}{\partial \bar{z}_1} \\ L_2 &= z_2 \frac{\partial}{\partial z_2} & \bar{L}_2 &= \bar{z}_2 \frac{\partial}{\partial \bar{z}_2} & L_t &= \frac{t_0}{2} \frac{\partial}{\partial t_0}, \end{aligned}$$



## Hamiltonians in terms of matrix elements

Introducing for convenience  $z_c = z_1 + z_2$ ,  $z = z_1 - z_2$  and

$$\begin{aligned} L_1 &= z_1 \frac{\partial}{\partial z_1} & \bar{L}_1 &= \bar{z}_1 \frac{\partial}{\partial \bar{z}_1} \\ L_2 &= z_2 \frac{\partial}{\partial z_2} & \bar{L}_2 &= \bar{z}_2 \frac{\partial}{\partial \bar{z}_2} & L_t &= \frac{t_0}{2} \frac{\partial}{\partial t_0}, \end{aligned}$$

Then  $H_1$ ,  $\bar{H}_1$ ,  $H_L$  in terms of the entries of  $Z$  at  $N = 2$  are:

$$H_1 = L_1 + L_2 + L_t, \quad \bar{H}_1 = \bar{L}_1 + \bar{L}_2 + \bar{L}_t$$

$$\begin{aligned} H_L &= (L_1 - \bar{L}_1)^2 + (L_2 - \bar{L}_2)^2 + \frac{z_c}{z} (L_1 - L_2) + \frac{\bar{z}_c}{\bar{z}} (\bar{L}_1 - \bar{L}_2) \\ &- \frac{2}{|z|^2} \left\{ t_0^2 (L_1 - L_2)(\bar{L}_1 - \bar{L}_2) + \frac{1}{t_0^2} (z_1 \bar{z}_1 - z_2 \bar{z}_2)^2 L_t^2 \right. \\ &\left. - (z_1 \bar{z}_1 - z_2 \bar{z}_2) [(L_1 - L_2) + (\bar{L}_1 - \bar{L}_2)] L_t - (z_1 \bar{z}_1 + z_2 \bar{z}_2) L_t \right\} \end{aligned}$$

## Free fermions in the $k = 0$ sector

The construction carried out explicitly at  $N = 2$  generalises to general  $N$  in a slightly weaker form.

At general  $N$ , we have  $N$  independent Casimirs leading to a degree  $N$  polynomial for the  $p_i$ .

- To obtain closed form expressions for the  $p_i$  in terms of the  $C_j$  would require one to solve arbitrary order polynomials, however for any specific values of the  $C_j$  one may solve for  $p_i$ .
- This gives an implicit map to free fermion momenta for any  $N$ .

## Free fermions in the $k = m = n$ sector

The label  $k$  is related to the number of contractions in an operator.

- $k = m = n$ : all terms in an operator involve the maximum number of contractions

## Free fermions in the $k = m = n$ sector

The label  $k$  is related to the number of contractions in an operator.

- $k = m = n$ : all terms in an operator involve the maximum number of contractions
- These operators are multi-traces of the matrix  $Y = Z^\dagger Z$
- $Y$  is hermitian so we find a map to the  $N$  fermions of the hermitian matrix model...

## Free fermions in the $k = m = n$ sector

The label  $k$  is related to the number of contractions in an operator.

- $k = m = n$ : all terms in an operator involve the maximum number of contractions
- These operators are multi-traces of the matrix  $Y = Z^\dagger Z$
- $Y$  is hermitian so we find a map to the  $N$  fermions of the hermitian matrix model...

In this sector  $\gamma = (k = m, \gamma_+ = \emptyset, \gamma_- = \emptyset)$  and  $\alpha = \beta$  so operators in this sector labelled by  $\alpha$  alone.

## Free fermions in the $k = m = n$ sector

The operators may be written as

$$\mathcal{O}_\alpha^{k=m}(Z, Z^\dagger) = \frac{d_\alpha}{\text{Dim } \alpha} \text{tr}_k(p_\alpha Y^{\otimes k})$$

where

- $d_\alpha$  is the dimension of the  $S_k$  representation  $\alpha$
- $\text{Dim } \alpha$  is the dimension of the  $U(N)$  representation  $\alpha$ .
- $p_\alpha$  is the projector onto the  $S_k$  representation  $\alpha$ .

Thus operators in this sector are Schur polynomials constructed from  $Y$ .

## Free fermions in the $k = m = n$ sector

The operators may be written as

$$\mathcal{O}_\alpha^{k=m}(Z, Z^\dagger) = \frac{d_\alpha}{\text{Dim } \alpha} \text{tr}_k(p_\alpha Y^{\otimes k})$$

where

- $d_\alpha$  is the dimension of the  $S_k$  representation  $\alpha$
- $\text{Dim } \alpha$  is the dimension of the  $U(N)$  representation  $\alpha$ .
- $p_\alpha$  is the projector onto the  $S_k$  representation  $\alpha$ .

Thus operators in this sector are Schur polynomials constructed from  $Y$ .

As discussed earlier, Schur polynomials in a hermitian matrix correspond to the states of  $N$  free fermions in a harmonic oscillator potential.

- The harmonic oscillator fermions observed here are a second emergence of free particles, distinct from those of the  $k = 0$  sector.

# Counting

It is important to check whether the Brauer basis matches the counting of operators at finite  $N$  described by other bases for two-matrix models.

Bhattacharyya, Collins, de Mello Koch & collaborators; Brown, Heslop, Ramgoolam

To count Brauer basis operators  $\mathcal{O}_{\alpha\beta;ij}^\gamma(Z, Z^\dagger)$ , we must calculate the multiplicity  $M_A^\gamma$  of the restriction  $\gamma \rightarrow A = (\alpha, \beta)$  of  $S_m \times S_n$ ,

$$M_A^\gamma = \sum_{\delta \vdash k} \sum_{\delta} g(\gamma_+, \delta; \alpha) g(\gamma_-, \delta, \beta)$$

since the indices  $i, j$  run from 1 to  $M_A^\gamma$ . The number of operators in the Brauer basis is thus

$$Q_b^N(m, n) = \sum_{\gamma, A} (M_A^\gamma)^2.$$

- For  $m + n \leq N$ , this formula counts multi-traces correctly.



## What if $N$ isn't big enough?

- For  $m + n > N$ , further constraints must be added to  $c_1(\gamma_+) + c_1(\gamma_-) \leq N$
- At  $N = 2$ , we have a conjecture, as follows.

## What if $N$ isn't big enough?

- For  $m + n > N$ , further constraints must be added to  $c_1(\gamma_+) + c_1(\gamma_-) \leq N$
- At  $N = 2$ , we have a conjecture, as follows.

Firstly, replace the reduction multiplicities by

$$M_{\alpha,\beta}^{\gamma;N=2} = \begin{cases} 1 & \text{if } M_{\alpha,\beta}^{\gamma} > 0 \\ 0 & \text{otherwise} \end{cases}$$

and secondly, constrain  $\alpha, \beta$  as follows:

- 1  $c_1(\alpha) + c_1(\beta) \leq N + k$
- 2  $[c_1(\alpha) + c_1(\beta)] + [c_2(\alpha) + c_2(\beta)] \leq 2N + k$
- $\vdots$

and in general for each  $p = 1, 2, \dots, \min(m, n)$ , constrain

$$\sum_{r=1}^p (c_r(\alpha) + c_r(\beta)) \leq pN + k.$$

# Counting and the stringy exclusion principle

We can also express this as a constraint on  $k$ :

$$k \geq \min(r_2, \bar{r}_2) + \min(\min(r_1, \bar{r}_1), \max(r_2, \bar{r}_2))$$

- We have numerically checked our conjecture up to  $(m, n) = (15, 15)$ .
- Is there a physical meaning to these extra constraints?

# Summary

- We found free particle signatures in two sectors:  
 $k = 0$  and  $k = m = n$
- $k = 0$  sector: Explicit expressions at  $N = 2$  for momenta of free fermions on a circle in terms of combinations of  $z_i, t_{jk}$ , implicit generalisation to arbitrary  $N$
- $k = m = n$  sector: map to free fermions in harmonic oscillator of hermitian matrix model for arbitrary  $N$ .
- Brauer basis counts correctly for  $N \geq m + n$ ; interesting subtleties for  $m + n > N$ .

# Open questions

- Is it possible to realise these emergent fermions more explicitly?
  - We have their momenta - can we find the dual coordinates?
  - Can we express the wavefunctions as Slater determinants?

# Open questions

- Is it possible to realise these emergent fermions more explicitly?
  - We have their momenta - can we find the dual coordinates?
  - Can we express the wavefunctions as Slater determinants?
- The label  $k$  seems to interpolate between degrees of freedom described by
  - Free fermions on a circle for  $k = 0$
  - Free fermions on a line for  $k = m = n$ .

Can these be interpreted as 'radial' and 'angular' degrees of freedom?

# Open questions

- There is a family of bubbling  $\frac{1}{4}$ -BPS and  $\frac{1}{8}$ -BPS asymptotically  $AdS_5 \times S^5$  geometries

Chen, Cremonini, Donos et al

- Recent progress on identifying  $\frac{1}{4}$ -BPS operators at non-zero  $\lambda$

Brown; Kimura

- Can we find a description for  $\frac{1}{4}$ -BPS operators in terms of fermions?

## Open questions

At zero coupling, this model is a consistent truncation of  $\mathcal{N} = 4$  SYM.

- Does it have a string dual at zero coupling?



## Open questions

At zero coupling, this model is a consistent truncation of  $\mathcal{N} = 4$  SYM.

- Does it have a string dual at zero coupling?

Some speculations on this conjectured string dual:

- $z_i$ : positions of  $N$  branes in two space dimensions.
- $t_{ij}$ : strings connecting brane  $i$  to  $j$

c.f. Witten '95

Here the triangular constraint ( $t_{ij} = 0$  for  $i > j$ ) will make the dual qualitatively different from the standard system of strings and branes.

## Open questions

At zero coupling, this model is a consistent truncation of  $\mathcal{N} = 4$  SYM.

- Does it have a string dual at zero coupling?

Some speculations on this conjectured string dual:

- $z_i$ : positions of  $N$  branes in two space dimensions.
- $t_{ij}$ : strings connecting brane  $i$  to  $j$

c.f. Witten '95

Here the triangular constraint ( $t_{ij} = 0$  for  $i > j$ ) will make the dual qualitatively different from the standard system of strings and branes.

- Does any of this physics survive at  $\lambda \neq 0$ ,  $\lambda \rightarrow \infty$  in SYM?
- Can it be compared to supergravity? Near-extremal AdS black holes?

Thanks!