# Cut-and-join operators and $\mathcal{N}=4$ SYM 

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based on 1002.2099 [hep-th] and forthcoming

## Two for one

Actually I want to give TWO talks.

Cut-and-join operators and $\mathcal{N}=4$ SYM $\sim 40 \mathrm{~min}$ and

Ideas for the string dual of the free theory
$\sim 20$ min

## IIB superstrings on $A d S_{5} \times S^{5}$



$$
\frac{1}{\alpha^{\prime}} \int d \tau d \sigma[\text { non-linear } \sigma \text {-model }]
$$

Perturbative expansion in the string coupling $g_{s}$


## $\mathcal{N}=4$ SUSY Yang-Mills: a Conformal Field Theory

$$
\begin{aligned}
& \frac{N}{\lambda} \int d^{4} x \operatorname{tr}\left[F_{\mu \nu} F^{\mu \nu}+D^{\mu} \phi_{i} D_{\mu} \phi_{i}-\left[\phi_{i}, \phi_{j}\right]\left[\phi_{i}, \phi_{j}\right]\right. \\
& \left.\quad+\psi \sigma^{\mu} D_{\mu} \psi-\psi \phi \psi\right]+\theta \int d^{4} x \operatorname{tr}\left[F_{\mu \nu} \tilde{F}^{\mu \nu}\right]
\end{aligned}
$$

Gauge group $U(N)$; fields in adjoint. Compute correlation functions of gauge-invariant local operators.


Parameter space

$$
g_{s}=\frac{\lambda}{N}
$$

## General programme for cut-and-join operators in SYM

Study non-planar corrections to $\mathcal{N}=4, d=4$ super Yang-Mills.
Two different attitudes to $\frac{1}{N}$ corrections, depending on coupling.

- For free theory, $\lambda=0$, treat $\frac{1}{N}$ as a string coupling ordering the non-planar expansion of correlation functions. Multi-trace operators identified with multi-string states.
- For $\lambda>0$ the correct string expansion is in $g_{s}=\frac{\lambda}{N}$. Treat $\frac{1}{N}$ corrections as a modification to the gauge theory/string theory state identification.

Recast splitting and joining of traces using symmetric group.

## Review of half-BPS sector

## Based on [Vaman and Verline 0209215]; [Corley, Jevicki and Ramgoolam 0111222].

Trace structures of operators map to conjugacy classes of $S_{n}$.
E.g. for $\alpha=(123)(45) \in S_{5}$

$$
\begin{aligned}
\operatorname{tr}\left(X^{3}\right) \operatorname{tr}\left(X^{2}\right) & =X_{i_{2}}^{i_{1}} X_{i_{3}}^{i_{2}} X_{i_{1}}^{i_{3}} X_{i_{5}}^{i_{4}} X_{i_{4}}^{i_{5}} \\
& =X_{i_{\alpha(1)}}^{i_{1}} X_{i_{\alpha(2)}}^{i_{2}} X_{i_{\alpha(3)}}^{i_{3}} X_{i_{\alpha(4)}}^{i_{4}} X_{i_{\alpha(5)}}^{i_{5}} \\
& :=\operatorname{tr}\left(\alpha X^{5}\right)
\end{aligned}
$$

Conjugacy classes labelled by partitions of $n$, e.g. [3, 2] here.

Two-point function given by cut-and-join operators

$$
\left\langle\operatorname{tr}\left(\alpha^{\prime} X^{\dagger n}\right) \operatorname{tr}\left(\alpha X^{n}\right)\right\rangle_{\text {non-planar }}=N^{n}\left\langle\alpha^{\prime}\right| \Omega_{n}\left(\frac{1}{N}\right)|\alpha\rangle
$$

(We're dropping the spacetime dependence here and onwards.)

## Cut-and-join operators

Basic cut-and-join operator is a sum over the transpositions in $S_{n}$

$$
\Sigma_{[2]}=\sum_{i<j}(i j)
$$

It cuts a single trace $/$ cycle $[n]=(123 \cdots n)$ into two

$$
\Sigma_{[2]}|n\rangle \sim\left|n_{1}, n_{2}\right\rangle
$$

It both joins a double trace and cuts it into three

$$
\Sigma_{[2]}\left|n_{1}, n_{2}\right\rangle \sim|n\rangle+\left|n_{1}, n_{2}, n_{3}\right\rangle
$$

Tree-level mixing given by

$$
\begin{aligned}
\Omega_{n} & =\sum_{\sigma \in S_{n}} \frac{1}{N^{T(\sigma)}} \sigma \\
& =1+\frac{1}{N} \Sigma_{[2]}+\frac{1}{N^{2}}\left(\Sigma_{[3]}+\Sigma_{[2,2]}\right)+\mathcal{O}\left(\frac{1}{N^{3}}\right)
\end{aligned}
$$

## Inner product and full non-planar correlation function

The inner product is given by the leading planar two-point function

$$
\left\langle\alpha^{\prime} \mid \alpha\right\rangle \sim \delta_{\alpha^{\prime} \in[\alpha]}
$$

The leading term of the (extremal) three-point function

$$
\left\langle n_{1}, n_{2}\right|\left(\frac{1}{N} \Sigma_{[2]}\right)|n\rangle=\frac{n n_{1} n_{2}}{N}
$$

The first correction to the single-trace 2-p't f'n from the torus

$$
\langle n|\left(\frac{1}{N^{2}}\left[\Sigma_{[3]}+\Sigma_{[2,2]}\right]\right)|n\rangle=\frac{n}{N^{2}}\left[\binom{n}{3}+\binom{n}{4}\right]
$$

What do these numbers mean in a putative worldsheet theory?

## Bunching of homotopic propagators

The $\Sigma_{[3]}$ term gives propagators on the torus bunched into 3 groups; $\Sigma_{[2,2]}$ gives propagators bunched into 4 groups.


In Gopakumar's model, each $\Sigma_{C}$ gives a different skeleton graph of homotopically-bunched propagators for the relevant genus $g$.

## Relation to Hurwitz theory

Suggestively, these are Hurwitz numbers counting $n$-branched covers of $\mathbb{C} P^{1}$ by surfaces of genus $g$ with three branch points, two labelled by the operators and the third by the cut-and-join $\Sigma_{C}$. For example for

$$
\begin{aligned}
\left\langle C^{\prime}\right| \Sigma_{C}\left|C^{\prime \prime}\right\rangle & =\frac{1}{n!} \delta\left(\Sigma_{C^{\prime}} \Sigma_{C} \Sigma_{C^{\prime \prime}}\right) \\
& =\frac{1}{(n!)^{2}} \sum_{|R|=n} d_{R}^{2-3} \chi_{R}\left(\Sigma_{C}\right) \chi_{R}\left(\Sigma_{C^{\prime}}\right) \chi_{R}\left(\Sigma_{C^{\prime \prime}}\right) \\
& =\sum_{f\left(C, C^{\prime}, C^{\prime \prime}\right): \Sigma_{g} \rightarrow \mathbb{C} P^{1}} \frac{1}{|\operatorname{Aut}(f)|}
\end{aligned}
$$

Where $\Sigma_{g}$ is the appropriate genus surface from the field theory, e.g. for extremal $k$-point function $T(C)=k+2 g-2$.
cf. [de Mello Koch, Ramgoolam 1002.1634] on Hermitian matrix model

## Two-dimensional factorisation of correlation functions

Another feature is that for large $n$ the higher genus correlation functions factorise into planar 3-point functions, e.g. for torus

$$
\frac{1}{N^{2}}\left(\Sigma_{[3]}+\Sigma_{[2,2]}\right) \rightarrow \frac{1}{2}\left(\frac{1}{N} \Sigma_{[2]}\right)^{2}
$$



This is the result of the exponentiation of the tree-level mixer

$$
\begin{aligned}
\Omega_{n} & =\exp \left(\frac{1}{N} \Sigma_{[2]}-\frac{1}{2 N^{2}}\left[\binom{n}{2}+\Sigma_{[3]}\right]+\mathcal{O}\left(\frac{1}{N^{3}}\right)\right) \\
& \rightarrow \exp \left(\frac{1}{N} \Sigma_{[2]}\right)
\end{aligned}
$$

NB: additional terms subleading in $\frac{n^{2}}{N}$.

## Multiple fields: a few simple examples I

Tracing the same field content for $U(2) \subset S U(4)_{R}$ rep $\Lambda=\square$ we sometimes have to 'twist' the trace to get a non-vanishing operator

where $\Phi^{p} \Phi_{p}=\epsilon^{p q} \Phi_{p} \Phi_{q}=[X, Y]$.

## Multiple fields: a few simple examples II

Things also get complicated when for a given representation and trace structure there is more than one operator, e.g. for the $U(2)$ rep $\square \square \sim[X, Y][X, Y] X X$ with trace structure $[4,2]$

$$
\begin{gathered}
\operatorname{tr}([X, Y][X, Y]) \operatorname{tr}(X X) \\
\operatorname{tr}\left(X X \Phi^{r} \Phi^{s}\right) \operatorname{tr}\left(\Phi_{r} \Phi_{s}\right)
\end{gathered}
$$

(remembering that $\Phi^{p} \Phi_{p}=\epsilon^{p q} \Phi_{p} \Phi_{q}=[X, Y]$ ).
So our goal is to organise multi-trace, multi-field operators into:

- Representations of the global symmetry group;
- Operators with fixed trace structure, e.g. single/double trace;
- And to describe any attendant multiplicity.


## Solution for multiple fields

For $U(2)$ sector organise $n$ copies of fields $\{X, Y\}$ into reps

$$
V_{2}^{\otimes n}=\bigoplus_{|\Lambda|=n} V_{\Lambda}^{U(2)} \otimes V_{\Lambda}^{S_{n}}
$$

Can then write all multitrace operators as
$|\Lambda, M ; \alpha, \gamma\rangle \equiv \frac{1}{n!} \sum_{\sigma \in S_{n}} S_{a \gamma}^{\wedge, \alpha} B_{b \beta}^{\wedge, \vec{\mu}} D_{a b}^{\wedge}(\sigma) \operatorname{tr}(\sigma^{-1} \alpha \sigma \overbrace{X \cdots X}^{\mu_{1}} \overbrace{Y \cdots Y}^{\mu_{2}})$

- $\wedge$ tells us the rep. of $U(2)$ (a two-row $n$-box Young diagram)
- $M$ tells us the state within that rep.
- $\alpha$ is a partition of $n$ giving the trace structure
- $\gamma$ labels the multiplicity for this $\Lambda$ and $\alpha$; no. of values is

$$
\frac{1}{|\operatorname{Sym}(\alpha)|} \sum_{\rho \in \operatorname{Sym}(\alpha)} \chi_{\wedge}(\rho)
$$

## Example operators

$$
\begin{aligned}
& |\Lambda=\square, M=H W S ; \alpha=[4], \gamma=1\rangle=\operatorname{tr}([X, Y][X, Y]) \\
& |\Lambda=\square, M=H W S ; \alpha=[2,2], \gamma=1\rangle=\operatorname{tr}\left(\Phi^{r} \Phi^{s}\right) \operatorname{tr}\left(\Phi_{r} \Phi_{s}\right) \\
& \square \square, H W S ;[4,2], 1\rangle=\operatorname{tr}([X, Y][X, Y]) \operatorname{tr}(X X) \\
& \square \square, H W S ;[4,2], 2\rangle=\operatorname{tr}\left(X X \Phi^{r} \Phi^{s}\right) \operatorname{tr}\left(\Phi_{r} \Phi_{s}\right) \\
& +\frac{1}{6} \operatorname{tr}([X, Y][X, Y]) \operatorname{tr}(X X)
\end{aligned}
$$

## Inner product and non-planar 2-point function

The inner product (i.e. planar two-point function) is diagonal

$$
\left\langle\Lambda^{\prime}, M^{\prime} ; \alpha^{\prime}, \gamma^{\prime} \mid \Lambda, M ; \alpha, \gamma\right\rangle \propto \delta^{\Lambda \Lambda^{\prime}} \delta^{M M^{\prime}} \delta^{\alpha \alpha^{\prime}} \delta^{\gamma \gamma^{\prime}}
$$

As for the half-BPS sector, the cut-and-join operators give the full non-planar free two-point function

$$
\begin{aligned}
\left\langle\mathcal { O } ^ { \dagger } \left[\Lambda^{\prime}, M^{\prime} ; \alpha^{\prime},\right.\right. & \left.\left.\gamma^{\prime}\right] \mathcal{O}[\Lambda, M ; \alpha, \gamma]\right\rangle_{\text {non-planar }} \\
& =\delta^{\Lambda \Lambda^{\prime}} \delta^{M M^{\prime}} N^{n}\left\langle\Lambda, M ; \alpha^{\prime}, \gamma^{\prime}\right| \Omega_{n}|\Lambda, M ; \alpha, \gamma\rangle
\end{aligned}
$$

## From $U(2)$ to $\operatorname{PSU}(2,2 \mid 4)$

This works automatically for $U(2) \rightarrow U\left(K_{1} \mid K_{2}\right)$. To extend these results for the free theory to the other fields of $\mathcal{N}=4$ SYM treat the infinite-dimensional singleton rep. of $\operatorname{PSU}(2,2 \mid 4)$ as the fundamental of $U(\infty \mid \infty)$. (The $\Lambda$ are now unrestricted $S_{n}$ reps, also known as the higher spin YT-pletons.)

However as soon as we turn on the coupling the $\operatorname{PSU}(2,2 \mid 4)$ group structure asserts itself. Each rep $\Lambda$ breaks down into an infinite number of $\operatorname{PSU}(2,2 \mid 4)$ reps. This decomposition is tricky and not known in general. Using the technology of Schur-Weyl duality we can do this for e.g. $S O(6)$ and $S O(2,4)$.

## One-loop

Analyse mixing with one-loop dilatation operator, e.g. $U(2)$ sector

$$
: \operatorname{tr}\left([X, Y]\left[\frac{\partial}{\partial X}, \frac{\partial}{\partial Y}\right]\right):
$$

Operators with anomalous dimensions have commutators $[X, Y$ ] within a trace. Label them $\left|\Lambda, M ; \alpha^{a}, \gamma^{a}\right\rangle$, e.g.

$$
\left.\square, H W S ;[4]^{a}, 1^{a}\right\rangle=\operatorname{tr}([X, Y][X, Y])
$$

$$
\left.\square \square, H W S ;[4,2]^{a}, 1^{a}\right\rangle=\operatorname{tr}([X, Y][X, Y]) \operatorname{tr}(X X)
$$

## How do we find the quarter-BPS operators?

On general grounds the protected BPS operators must be orthogonal to those operators with anomalous dimensions in the full non-planar two-point function. So choose $\alpha^{q}, \gamma^{q}$ such that these operators are orthogonal in the planar inner product

$$
\left\langle\Lambda, M ; \alpha^{a}, \gamma^{a} \mid \Lambda, M ; \alpha^{q}, \gamma^{q}\right\rangle=0 \quad \forall a, q
$$

The $\frac{1}{4}$-BPS ops. are defined with the inverse of the tree-level mixer

$$
\frac{1}{4}-\mathrm{BPS}=\Omega_{n}^{-1}\left|\Lambda, M ; \alpha^{q}, \gamma^{q}\right\rangle
$$

for $\quad \Omega_{n}^{-1}=1-\frac{1}{N} \Sigma_{[2]}+\frac{1}{N^{2}}\left[\frac{n(n-1)}{2}+2 \Sigma_{[3]}+\Sigma_{[2,2]}\right]+\mathcal{O}\left(\frac{1}{N^{3}}\right)$
Now they are guaranteed to be orthogonal in the non-planar two-point function because $\Omega_{n} \Omega_{n}^{-1}=1$.

## Quarter-BPS examples

$$
\begin{aligned}
\left.\Omega_{n}^{-1} \square, H W S ;[2,2]^{q}, 1^{q}\right\rangle= & \operatorname{tr}\left(\Phi^{r} \Phi^{s}\right) \operatorname{tr}\left(\Phi_{r} \Phi_{s}\right) \\
& +\frac{2}{N} \operatorname{tr}([X, Y][X, Y]) \\
& -\frac{2}{N^{2}} \operatorname{tr}\left(\Phi^{r} \Phi^{s}\right) \operatorname{tr}\left(\Phi_{r}\right) \operatorname{tr}\left(\Phi_{s}\right)
\end{aligned}
$$

$$
\begin{aligned}
\Omega_{n}^{-1} & \square \\
= & \operatorname{tr}\left(X X \Phi^{r} \Phi^{s}\right) \operatorname{tr}\left(\Phi_{r} \Phi_{s}\right)+\frac{1}{6} \operatorname{tr}([X, Y][X, Y]) \operatorname{tr}(X X) \\
& +\frac{8}{3 N} \operatorname{tr}\left(\Phi^{r} \Phi_{r} \Phi^{s} \Phi_{s} X X\right)-\frac{16}{3 N} \operatorname{tr}\left(\Phi^{r} \Phi^{s} \Phi_{r} \Phi_{s} X X\right) \\
& -\frac{4}{3 N} \operatorname{tr}\left(\Phi^{r} \Phi^{s}\right) \operatorname{tr}\left(\Phi_{r} \Phi_{s}\right) \operatorname{tr}(X X) \\
& -\frac{1}{N} \operatorname{tr}\left(\Phi^{r} \Phi^{s} X X\right) \operatorname{tr}\left(\Phi_{r}\right) \operatorname{tr}\left(\Phi_{s}\right)-\frac{1}{6 N} \operatorname{tr}\left(\Phi^{r} \Phi_{r} \Phi^{s} \Phi_{s}\right) \operatorname{tr}(X) \operatorname{tr}(X) \\
& -\frac{4}{N} \operatorname{tr}\left(\Phi^{r} \Phi^{s} X\right) \operatorname{tr}\left(\Phi_{r} \Phi_{s}\right) \operatorname{tr}(X)+\frac{2}{N} \operatorname{tr}\left(\Phi^{r} \Phi^{s} X\right) \operatorname{tr}\left(\Phi_{r} X\right) \operatorname{tr}\left(\Phi_{s}\right)+\mathcal{O}\left(\frac{1}{N^{2}}\right)
\end{aligned}
$$

## INTERMISSION

## General programme for the string dual of the free theory

Want to understand exactly how the Maldacena conjecture works.

1. Find a string theory that reproduces free $\mathcal{N}=4$ SYM, i.e. that has both the same spectrum and correlation functions.
2. Find a deformation that pushes out to weak coupling $\lambda>0$.
3. Describe the strong/weak worldsheet duality that relates this theory to the standard large radius string on $A d S_{5} \times S^{5}$.

## Revision of non-critical $c=1$ string

This is a string theory with a two-dimensional target space.

$$
\begin{array}{c|c|c}
c=1 \text { free } \\
\text { boson } X & & c=25 \text { Liouville } \\
\text { field } \phi
\end{array}
$$

$c=-26 b, c$ ghost system

In 2d the 'tachyons' are massless

$$
T_{n}=c \tilde{c} e^{i n X} e^{(2-|n|) \phi}
$$

Calculate tachyon scattering amplitudes using matrix quantum mechanics ( $X \cong t$, Liouville direction emerges), $g_{s} \sim \frac{1}{\mu}$.
MQM has free fermion description very similar to MQM for half-BPS sector, except harmonic oscillator potential is inverted.

## Exhibit A: $c=1$ two-point S-matrix

For the two-point S-matrix up to genus two for the $c=1$ string

$$
\begin{aligned}
& \sum_{g=0} \mu^{2-2 g}\left\langle T_{-n} T_{n}\right\rangle_{g} \\
& \propto \\
& \quad 1 \\
& \quad-\frac{1}{\mu^{2}}\left[\frac{1}{24} n(n-1)\left(n^{2}-n-1\right)\right] \\
& \quad+\frac{1}{\mu^{4}}\left[\frac{1}{5760} n \prod_{r=1}^{3}(n-r)\left(3 n^{4}-10 n^{3}-5 n^{2}+12 n+7\right)\right] \\
& \quad+\mathcal{O}\left(\frac{1}{\mu^{6}}\right)
\end{aligned}
$$

[Moore, Plesser, Ramgoolam 9111035]

## Exhibit B: half-BPS two-point function

Compare this to the half-BPS result with $N=-i \mu$

$$
\begin{aligned}
& \left\langle\operatorname{tr}\left(Z^{\dagger n}\right)[x] \operatorname{tr}\left(Z^{n}\right)[0]\right\rangle \\
& \propto 1 \\
& \quad+\frac{1}{N^{2}}\left[\frac{1}{24} n(n-1)\left(n^{2}-n-2\right)\right] \\
& \quad+\frac{1}{N^{4}}\left[\frac{1}{5760} n \prod_{r=0}^{3}(n-r)\left(3 n^{4}-10 n^{3}-15 n^{2}+22 n+24\right)\right] \\
& \quad+\mathcal{O}\left(\frac{1}{N^{6}}\right)
\end{aligned}
$$

[Corley, Jevicki, Ramgoolam 0111222]

## Exhibit C: compactified $c=1$ two-point function

But for $c=1$ string compactified at radius $R$

$$
\sum_{g=0} \mu^{2-2 g}\left\langle T_{-n} T_{n}\right\rangle_{g}
$$

$$
\propto 1
$$

$$
-\frac{1}{\mu^{2}}\left[\frac{1}{24} n(n-1) \times\left\{\left(n^{2}-n-1\right)-\frac{1}{R^{2}}\right\}\right]
$$

$$
+\frac{1}{\mu^{4}}\left[\frac{1}{5760} n \prod_{r=1}^{3}(n-r) \times\left\{\left(3 n^{4}-10 n^{3}-5 n^{2}+12 n+7\right)\right.\right.
$$

$$
\left.\left.-\frac{10}{R^{2}}\left(n^{2}-n-1\right)+\frac{7}{R^{4}}\right\}\right]
$$

$$
+\mathcal{O}\left(\frac{1}{\mu^{6}}\right)
$$

[Klebanov, Lowe (1991)]

## Mapping $c=1, R=1$ string to half-BPS sector

| $c=1$ string at <br> self-dual radius | half-BPS sector of <br> $\mathcal{N}=4$ SYM |
| :---: | :---: |
| $T_{n}$ | $\operatorname{tr}\left(Z^{n}\right)$ |
| $T_{-n}$ | $\operatorname{tr}\left(Z^{\dagger n}\right)$ |
| $g_{s}=\frac{1}{\mu}$ | $\frac{i}{N}$ |
| $k$-point S-matrix | k-point function |

## [Jevicki, Yoneya 0612262; Tai 0701086]

Can show that correspondence holds exactly using Normal Matrix Model for $c=1$ string of [Alexandrov, Kazakov, Kostov 0302106].

## Topological cousins of $c=1, R=1$ string

The $c=1$ string at self-dual radius is very special. It has many alternative topological descriptions.

- Various topological matrix models
- Topological coset $S L(2, \mathbb{R}) / U(1)$ coset at $k=3$ [Mukhi, Vafa 9301083]
- Topological Landau-Ginzburg with $W(X)=X^{-1}$ ( $T_{n} \sim X^{n-1}$ ) [Ghoshal, Mukhi 9312189]
- topological $B$-model on deformed conifold ( $T_{n} \sim$ deformations of geometry (like LLM?)) [Ghoshal, Vafa 9506122]
- $t \rightarrow 0$ limit of topological $A$-model on resolved conifold [Ooguri, Vafa 0205297]

Which do we choose to extend the map to the rest of the spectrum of $\mathcal{N}=4 \mathrm{SYM}$ ?

## A Berkovits-inspired conjecture

[Berkovits 0806.1960] suggested a string dual for the free theory: a $G / G$ principal chiral model for $G=\operatorname{PSU}(2,2 \mid 4)$.

By gauge-fixing a subgroup of the $G$ symmetry, the associated ghosts combine with the left-over coset to form a topological $A$-twisted $\mathcal{N}=2$ theory on the coset.

Possible suggestion for the correct gauging: A-model on 'super' resolved conifold

$$
\frac{U(2,2 \mid 4)}{U(1) \times U(1,2 \mid 4)}
$$

Has correct symmetry, bosonic part is resolved conifold, part of cohomology is identifiable with the half-BPS operators. Need to check: rest of spectrum + correlation functions.

## Conclusions

- Full non-planar free theory has a universal structure given by cut-and-join operators, with many stringy features.
- Can we turn this into a concrete description of the dual string?
- Can we generalise the $c=1$ string description of the half-BPS spectrum and correlation functions to the rest of free $\mathcal{N}=4$ ?
- Some features also appear in the weak coupling regime, at least in identifying the quarter-BPS operators.
- Does any of this apply to ops with anomalous dimensions?

